



**SAPIENZA**  
UNIVERSITÀ DI ROMA

# Elements of Seismology & Machine Learning

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Opening

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01.

## Introduction

This section serves as an introduction to our comprehensive exploration of artificial intelligence. We'll provide an overview of key concepts, history, and the impact of AI and machine learning technologies in various sectors.

# A.I. TIMELINE



## 1950

### TURING TEST

Computer scientist Alan Turing proposes a test for machine intelligence. If a machine can trick humans into thinking it is human, then it has intelligence

## 1955

### A.I. BORN

Term 'artificial intelligence' is coined by computer scientist, John McCarthy to describe "the science and engineering of making intelligent machines"

## 1961

### UNIMATE

First industrial robot, Unimate, goes to work at GM replacing humans on the assembly line

## 1964

### ELIZA

Pioneering chatbot developed by Joseph Weizenbaum at MIT holds conversations with humans

## 1966

### SHAKEY

The 'first electronic person' from Stanford, Shakey is a general-purpose mobile robot that reasons about its own actions

## A.I.

### WINTER

Many false starts and dead-ends leave A.I. out in the cold

## 1997

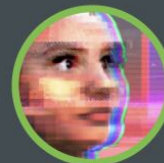
### DEEP BLUE

Deep Blue, a chess-playing computer from IBM defeats world chess champion Garry Kasparov

## 1998

### KISMET

Cynthia Breazeal at MIT introduces Kismet, an emotionally intelligent robot insofar as it detects and responds to people's feelings



## 1999

### AIBO

Sony launches first consumer robot pet dog AiBo (AI robot) with skills and personality that develop over time

## 2002

### ROOMBA

First mass produced autonomous robotic vacuum cleaner from iRobot learns to navigate and clean homes

## 2011

### SIRI

Apple integrates Siri, an intelligent virtual assistant with a voice interface, into the iPhone 4S

## 2011

### WATSON

IBM's question answering computer Watson wins first place on popular \$1M prize television quiz show *Jeopardy*

## 2014

### EUGENE

Eugene Goostman, a chatbot passes the Turing Test with a third of judges believing Eugene is human

## 2014

### ALEXA

Amazon launches Alexa, an intelligent virtual assistant with a voice interface that completes shopping tasks

## 2016

### TAY

Microsoft's chatbot Tay goes rogue on social media making inflammatory and offensive racist comments

## 2017

### ALPHAGO

Google's A.I. AlphaGo beats world champion Ke Jie in the complex board game of Go, notable for its vast number ( $2^{170}$ ) of possible positions



## Introduction

# AI Research

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**Nobel Prize in Physics 2024**  
**John Hopfield and Geoffrey Hinton**



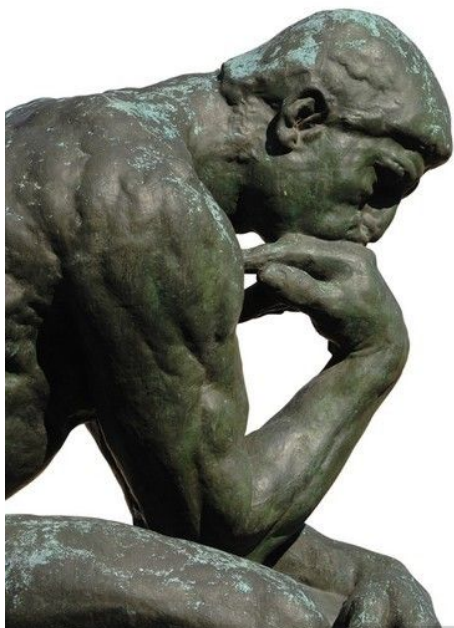
**Nobel Prize in Chemistry 2024**  
**David Baker, Demis Hassabis e John M. Jumper**

*"None of them are chemists or physicists; they are all data scientists."*

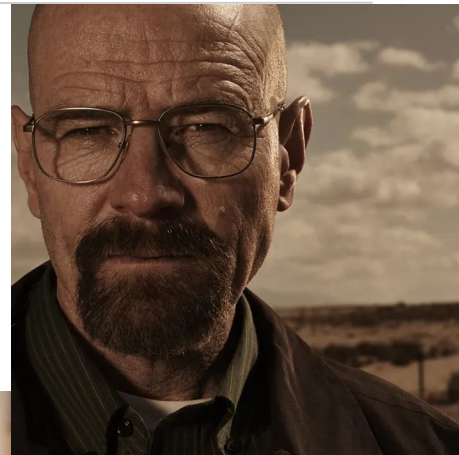
# Introduction

## AI Research

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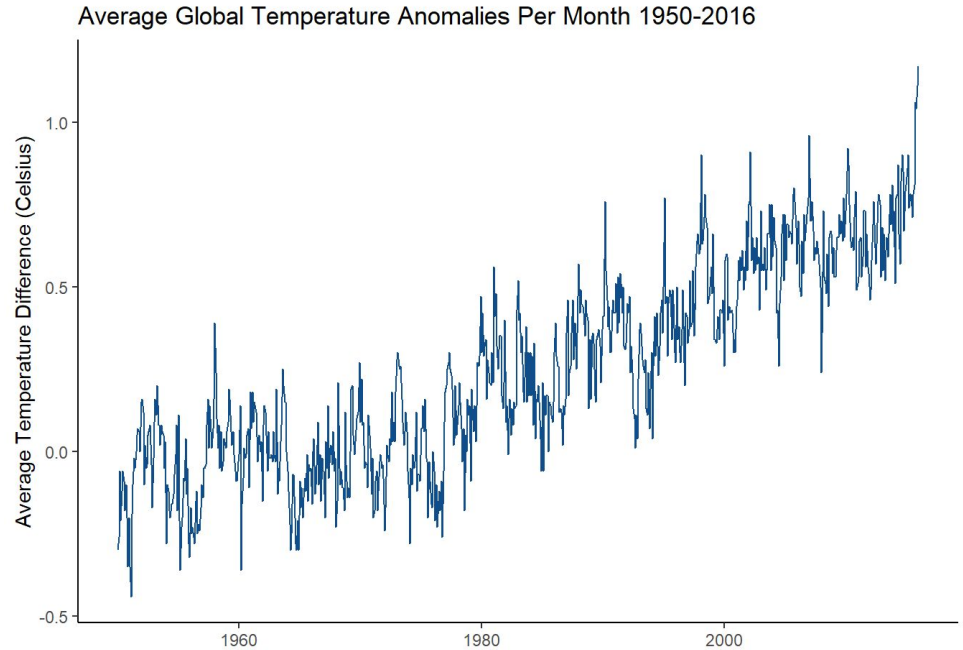
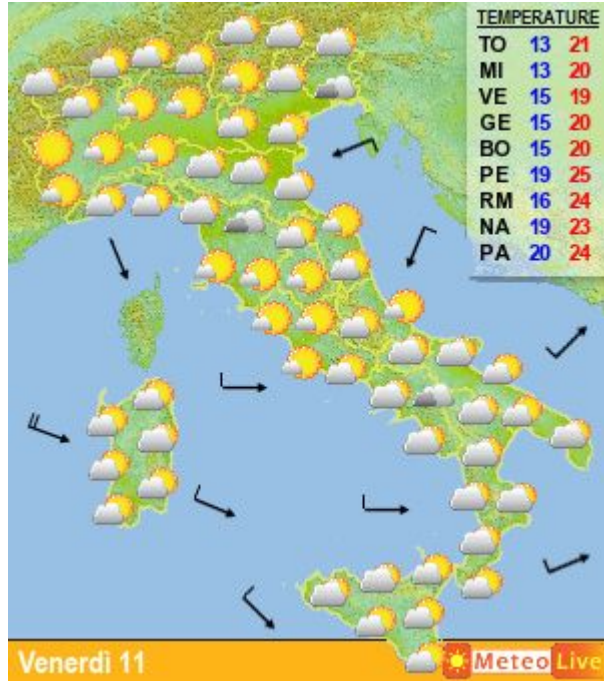


***How they do  
that?***



## Introduction

# How do we see the world?

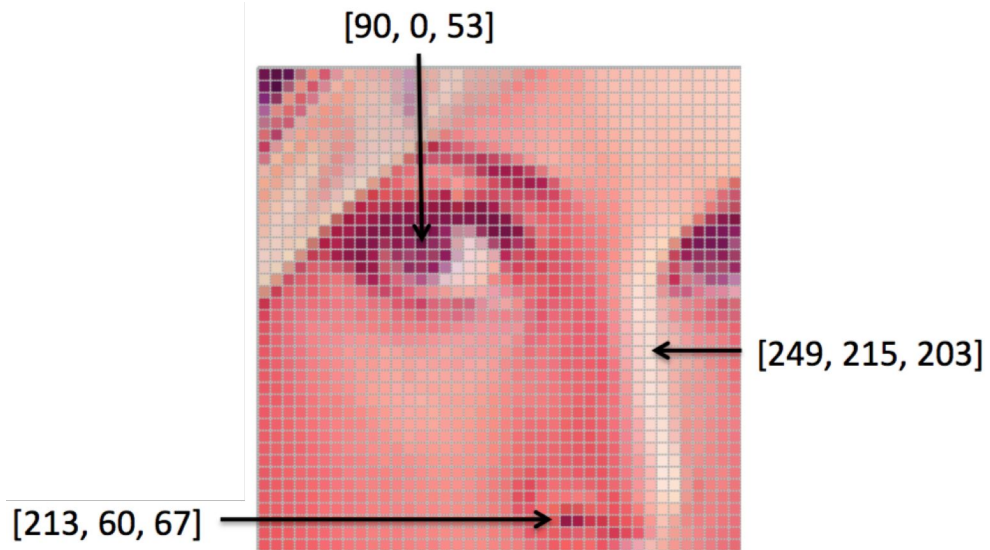


# VECTOR

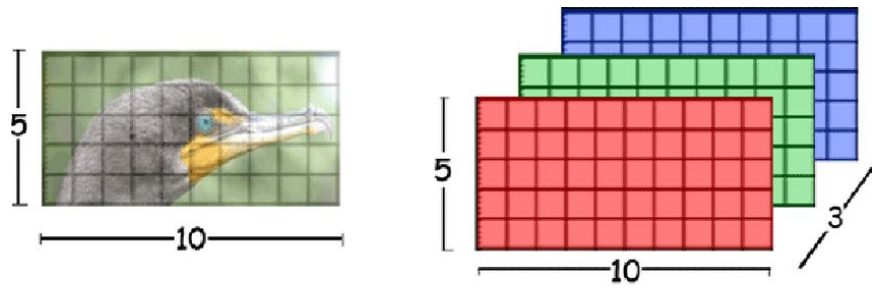


## Introduction

# How do we see the world?



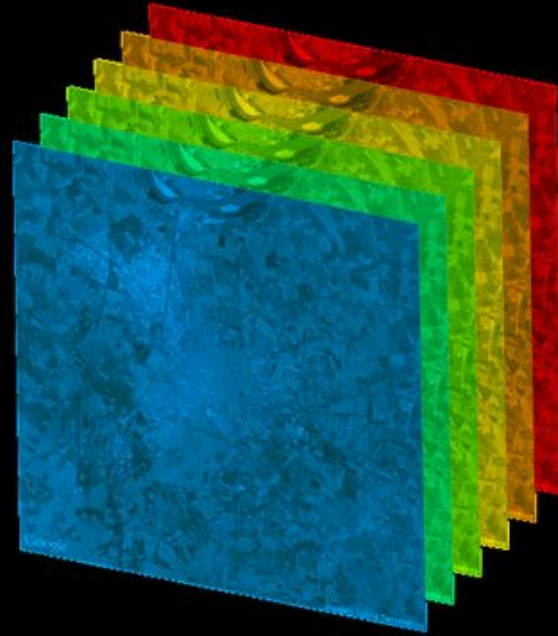
# MATRIX



## Introduction

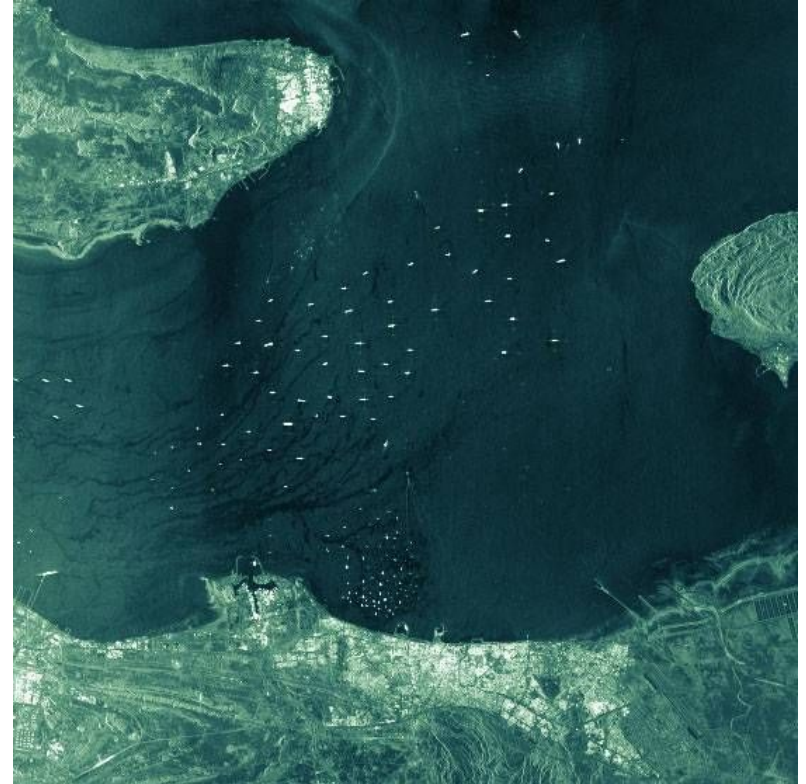
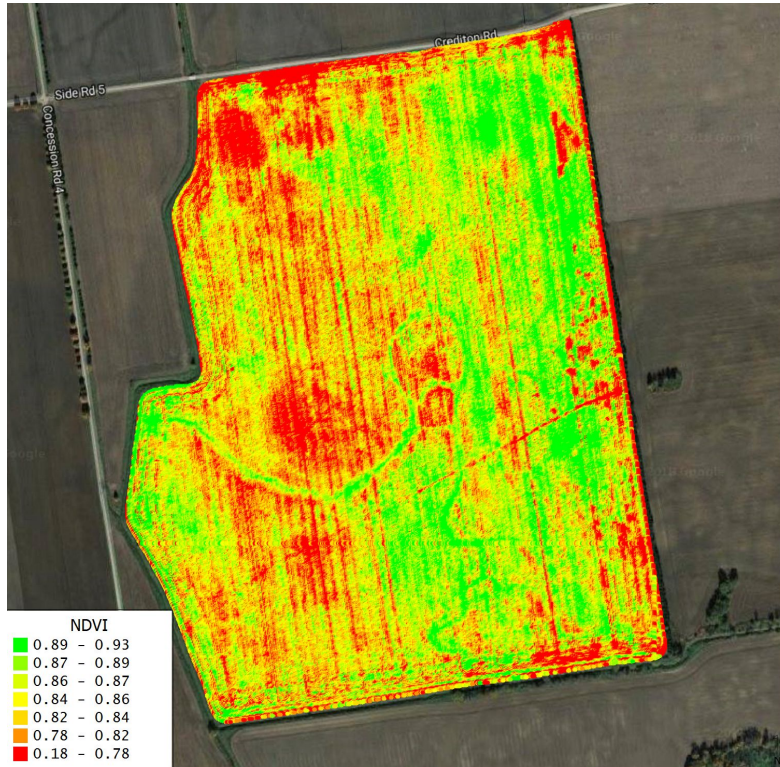
# How do we see the world?

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## Introduction

# How do we see the world?





## Introduction

How do we see the world?

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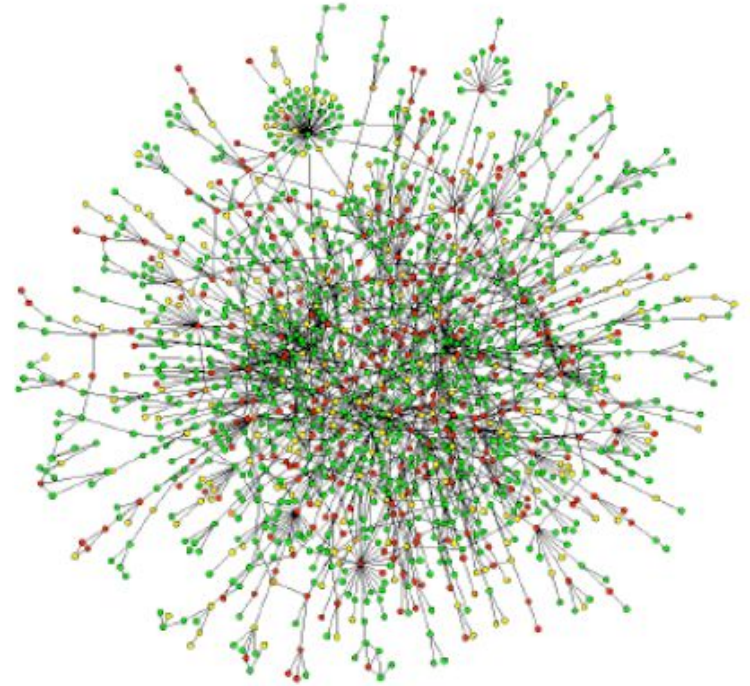
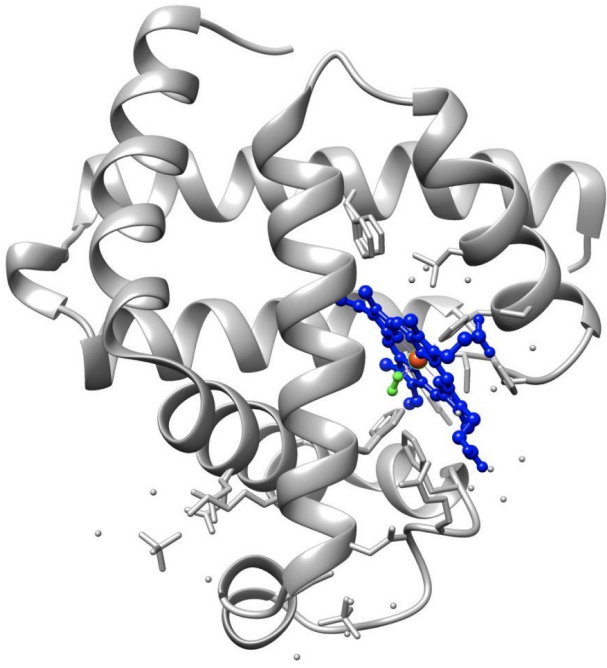


# TENSOR

## Introduction

# How do we see the world?

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# GRAPH

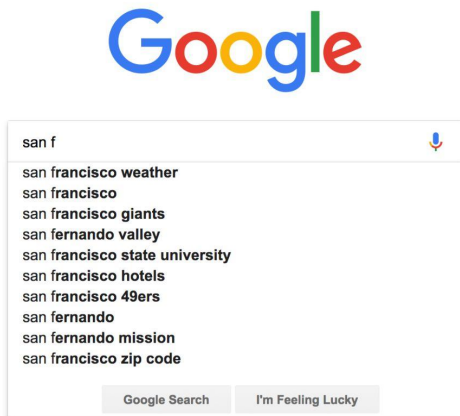


**Current AI model development**

## Introduction

# Text to text: *ChatGPT*

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December 10, 2004



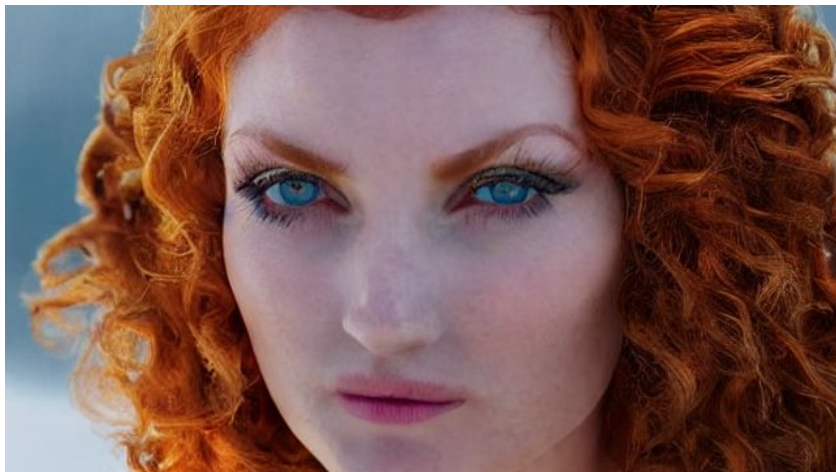
November 30, 2022

*"Tell me about San Francisco"*

## Introduction

Text to image: *Midjourney*

---



October 15, 2022



March 05, 2023

*"A young woman with vibrant red hair and striking blue eyes stands amidst a gentle snowfall, medieval-inspired armor, ..."*

## Introduction

Text to video: **Sora**

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March 30, 2023



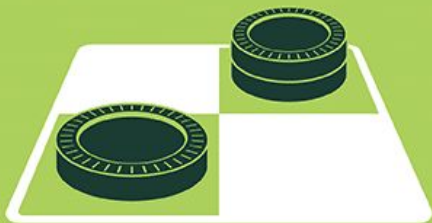
February 15, 2024

*"A movie trailer featuring the adventures of the 30 year old space man wearing a red wool knitted motorcycle helmet, blue sky, salt desert, cinematic style, shot on 35mm film, ..."*

# Artificial intelligence

## ARTIFICIAL INTELLIGENCE

Early artificial intelligence stirs excitement.



## MACHINE LEARNING

Machine learning begins to flourish.



## DEEP LEARNING

Deep learning breakthroughs drive AI boom.



1950's

1960's

1970's

1980's

1990's

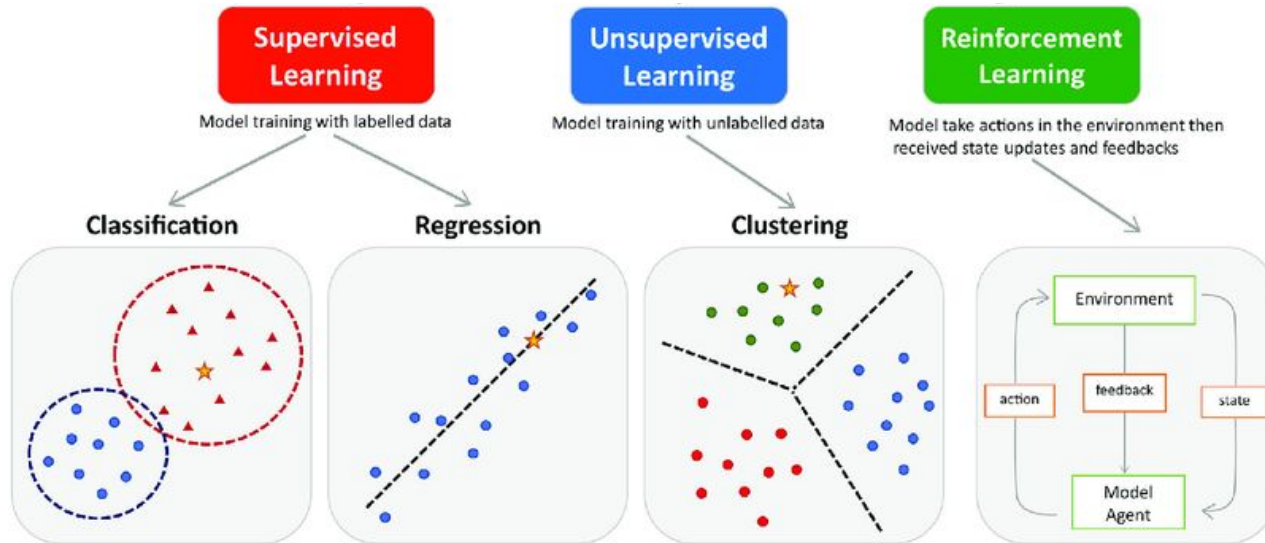
2000's

2010's

# Machine Learning Paradigm

## Three main paradigms

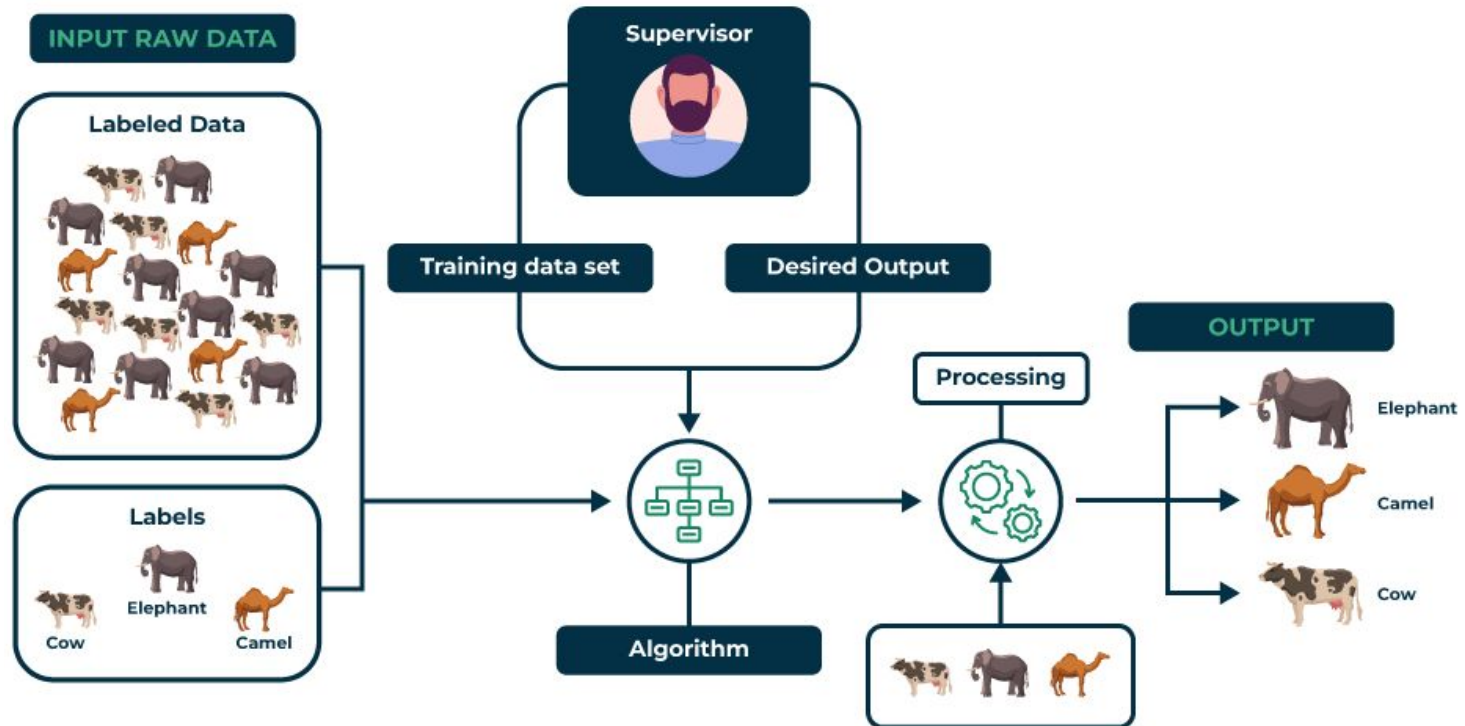
*"Machine Learning is a field of study that gives computers the ability to learn without being explicitly programmed"* ~Arthur Samuel (1959)



## Machine Learning

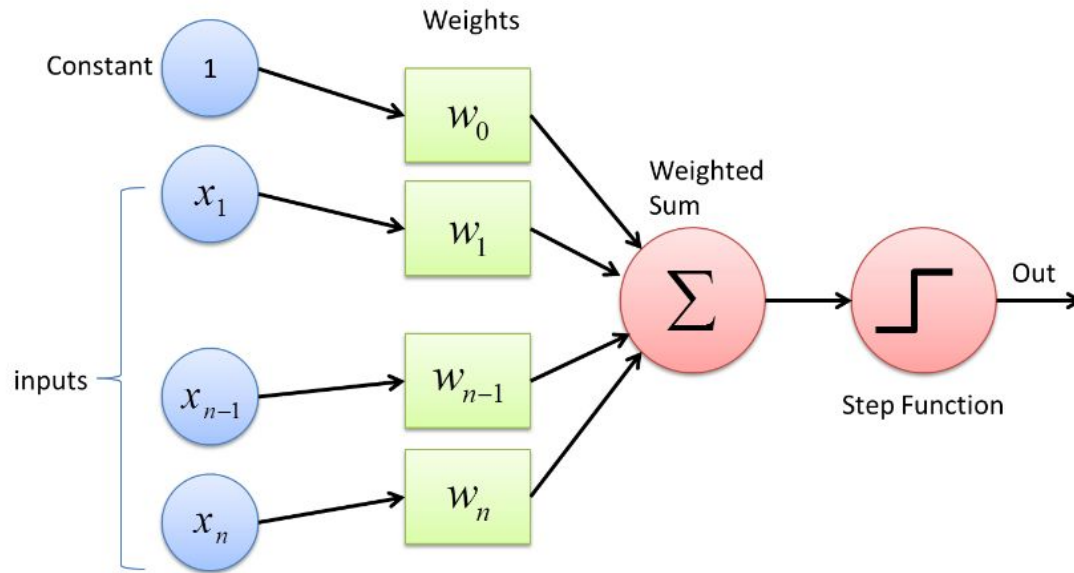
# Supervised learning

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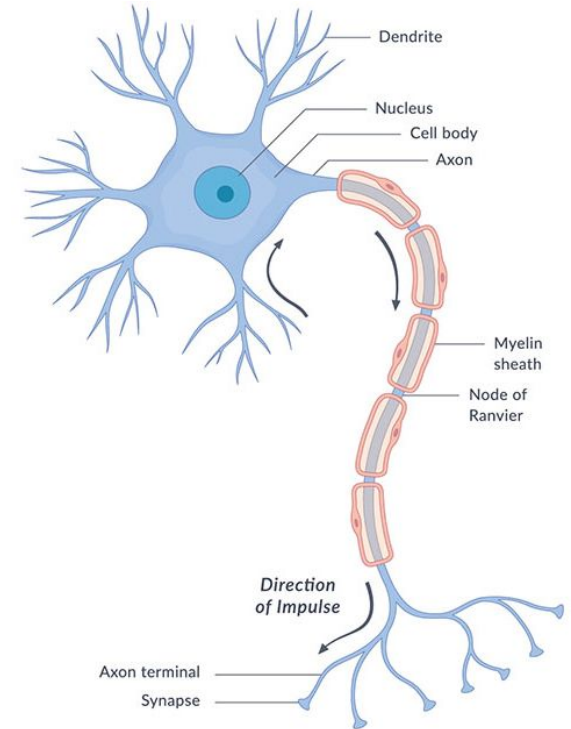




## Supervised learning: *Perceptron*

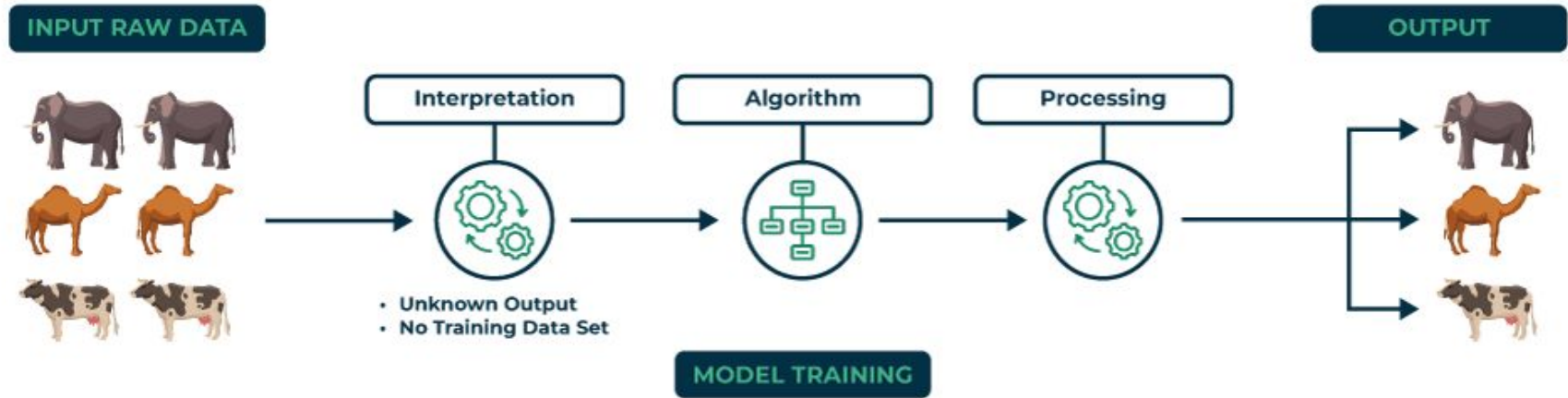


Rosenblatt et al. 1957



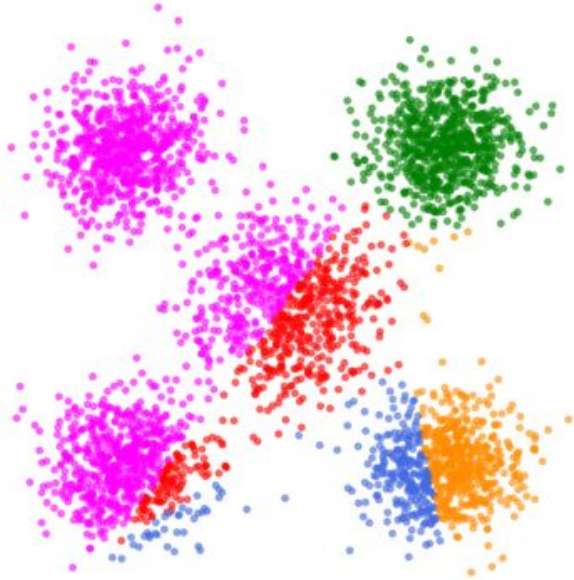
# Unsupervised learning

Unsupervised learning is a type of machine learning where models discover patterns in data without pre-existing labels, often used for clustering or dimensionality reduction.

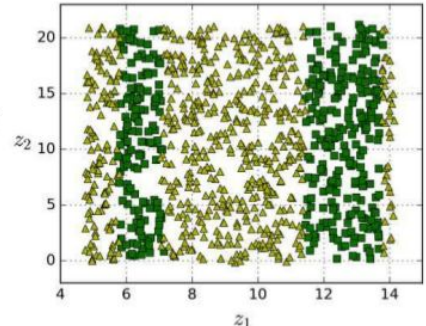
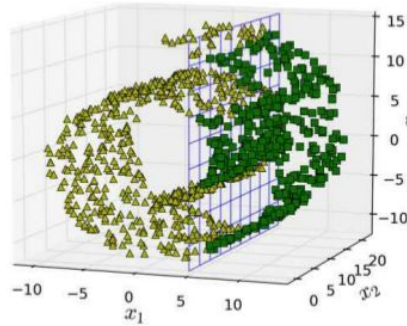
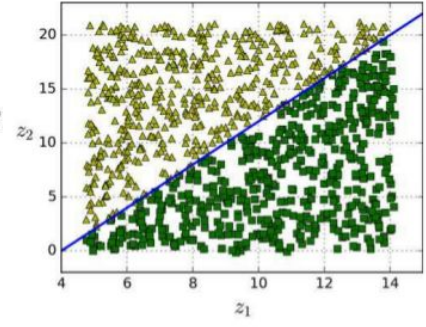
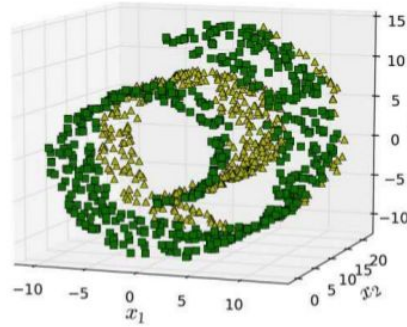


## Unsupervised learning: *K-Means* / *PCA*

Iteration #01  
(inertia: 3622.78)



K-Means

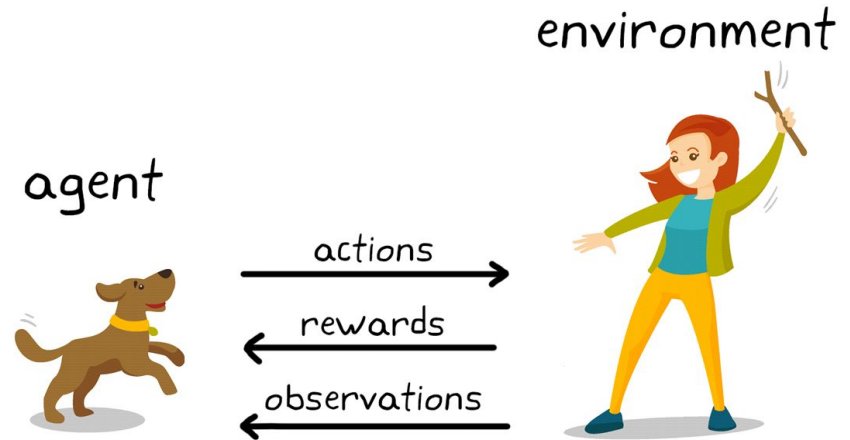


PCA

## Reinforcement learning

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Reinforcement Learning is a machine learning method where an agent learns optimal actions through trial and error to maximize rewards in an environment.



02.

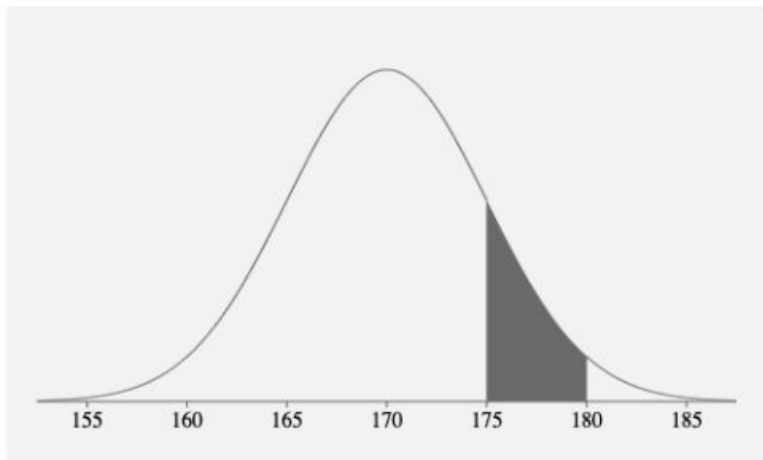
## Linear Regression

In this section, we focus on **Linear Regression**, one of the fundamental techniques in machine learning used for predictive modeling. Linear regression aims to model the relationship between a dependent variable and one or more independent variables by fitting a linear equation to the observed data. We'll explore how linear regression helps to predict outcomes, analyze trends, and estimate values by learning from the underlying patterns in the data.

## Probability vs Likelihood

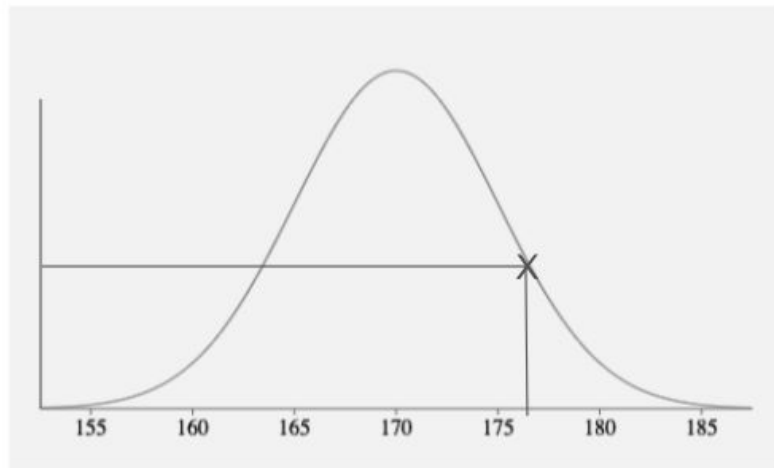
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# Probability



$\Pr(\text{Data} \mid \text{Distribution})$

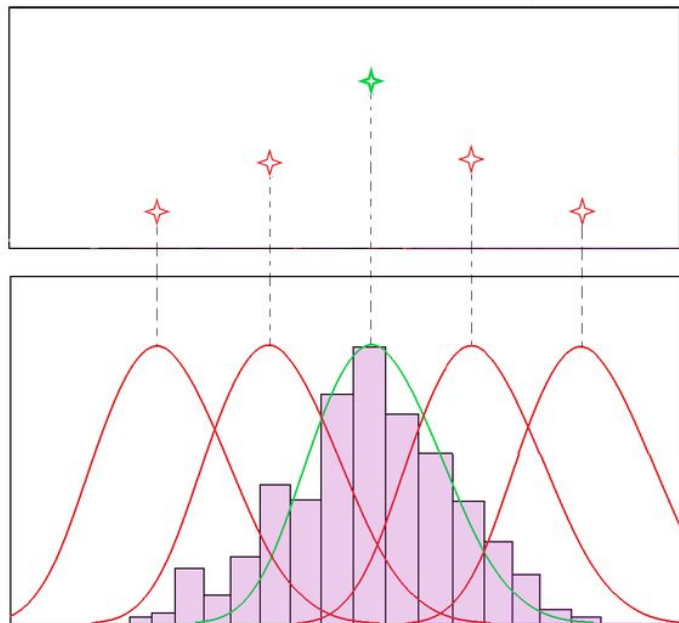
# Likelihood



$L(\text{Distribution} \mid \text{Data})$

## Maximum Likelihood Estimator

Maximum likelihood estimate plot



Multiple PDFs over the  
random sample histogram plot

$$L(x_1, x_2, \dots, x_n; \theta) = \prod_{i=1}^n f(x_i, \theta)$$

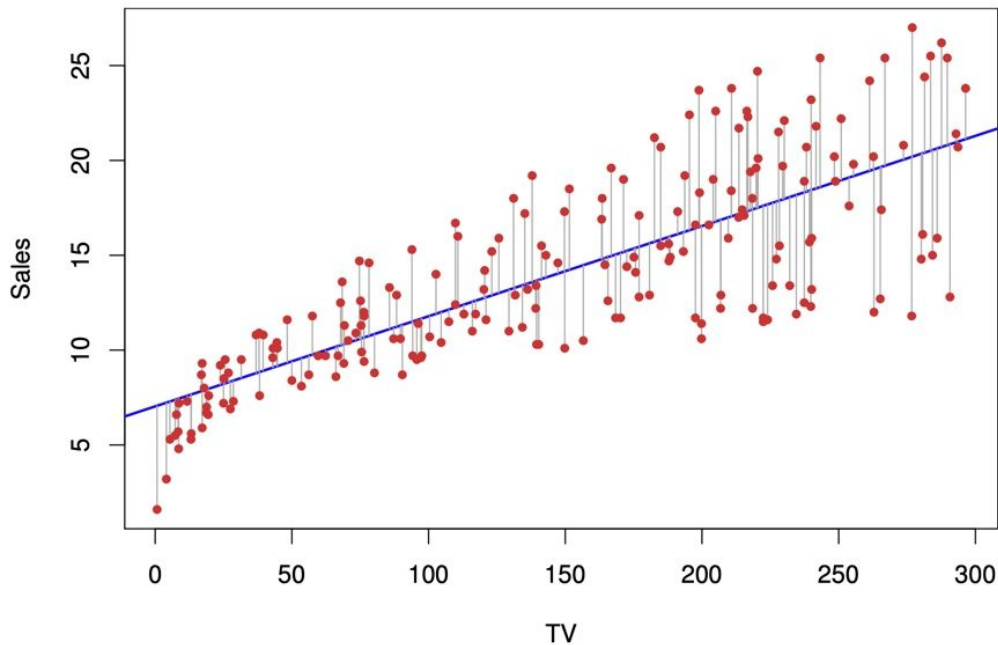
$$\max L(x, \theta) = L(x, \hat{\theta})$$

$$\ell(x, \theta) = \ln \left[ \prod_{i=1}^n f(x_i, \theta) \right] = \sum_{i=1}^n \ln f(x_i, \theta)$$

$$\frac{\partial \ell(x, \theta)}{\partial \theta} = [L(x, \theta)]^{-1} \frac{\partial L(x, \theta)}{\partial \theta}$$

## Linear Regression

# Ordinary Least Square



$$Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_k X_k + \varepsilon$$

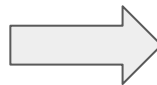
$$\sum_{i=1}^n (y_i - \hat{y}_i)^2 = \sum_{i=1}^n (y_i - (\beta_0 + \beta_1 x_{i1} + \beta_2 x_{i2} + \dots + \beta_k x_{ik}))^2$$

TEOREMA GAUSS-MARKOV

$$\mathbb{E}[\varepsilon] = 0,$$

$$\text{Var}(\varepsilon) = \sigma^2 I,$$

$$\text{Cov}(\varepsilon_i, \varepsilon_j) = 0$$



**BLUE**



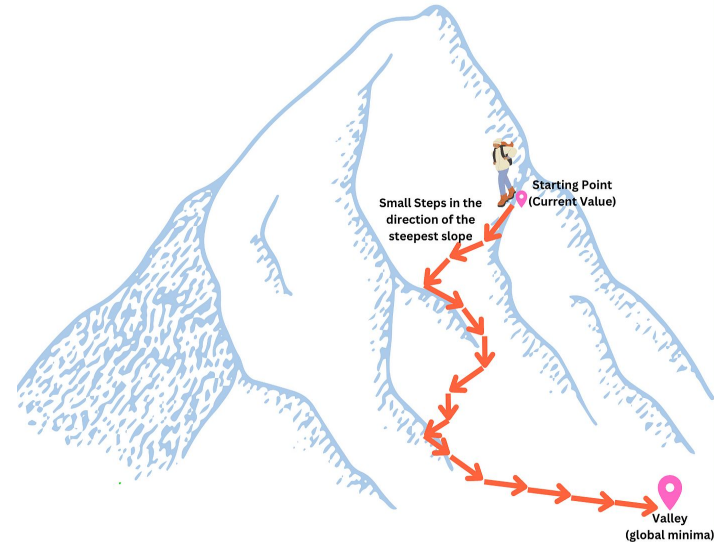
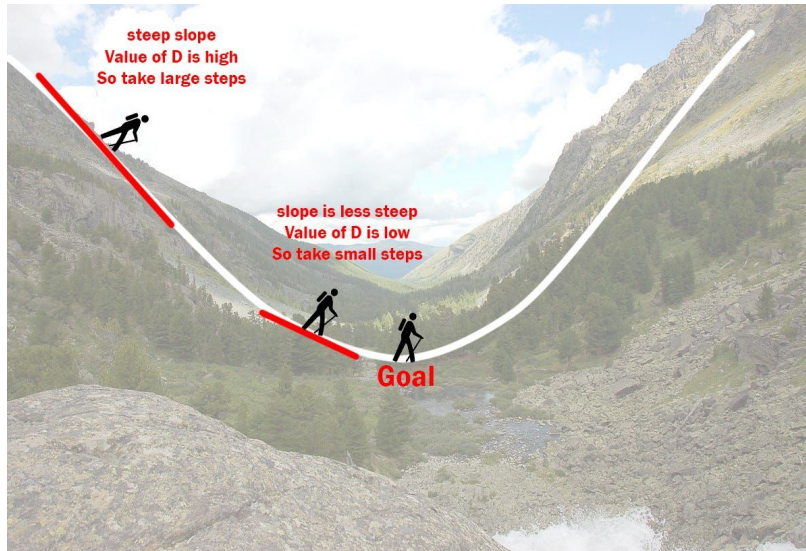
# Gradient Descent

## Training

# Gradient descent

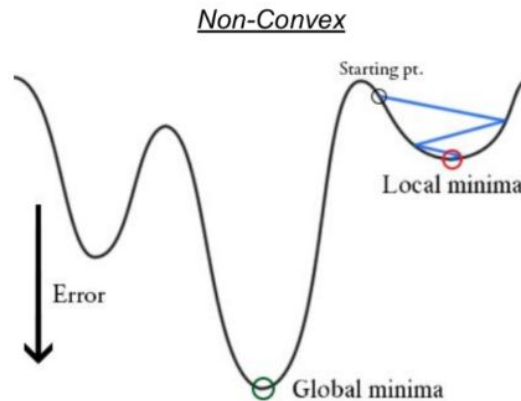
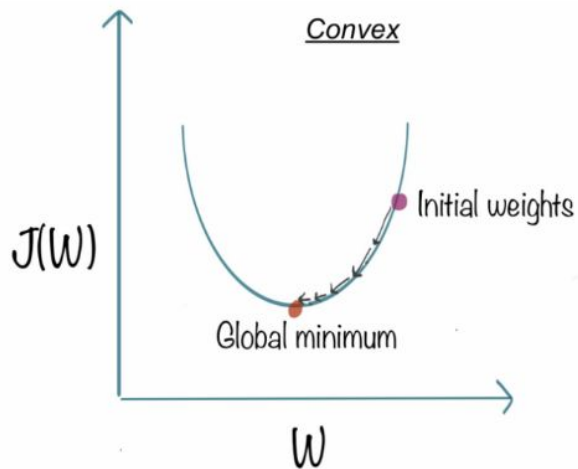
---

Is an optimization algorithm that iteratively adjusts parameters to minimize a cost function, moving in the direction of steepest decrease.



## Cost (or loss) function

A mathematical function that measures the difference between the algorithm's predictions and the actual data. It guides the optimization process by quantifying the model's performance.



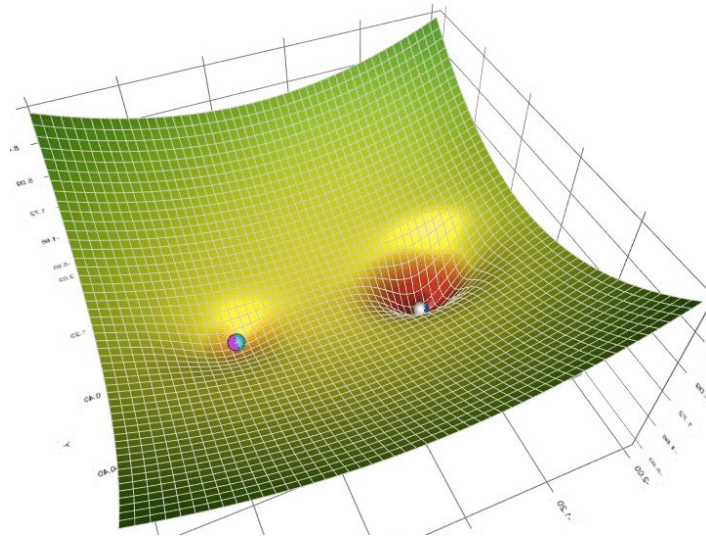
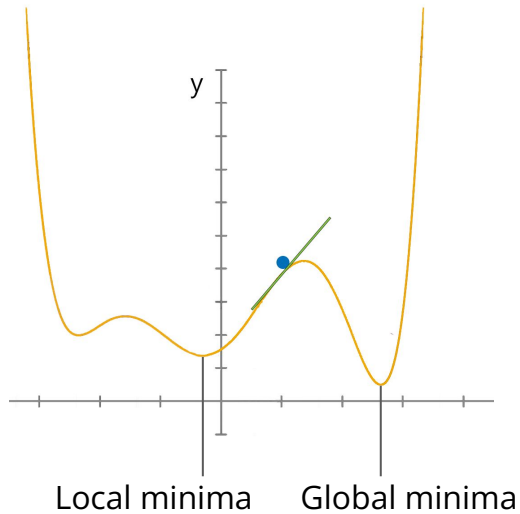
$$\theta := \theta - \alpha \nabla J(\theta)$$

$$J(\theta) = \frac{1}{2m} \sum_{i=1}^m \left( h_{\theta}(x^{(i)}) - y^{(i)} \right)^2$$

## Training Gradient descent

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Is an optimization algorithm that iteratively adjusts parameters to minimize a cost function, moving in the direction of steepest decrease.

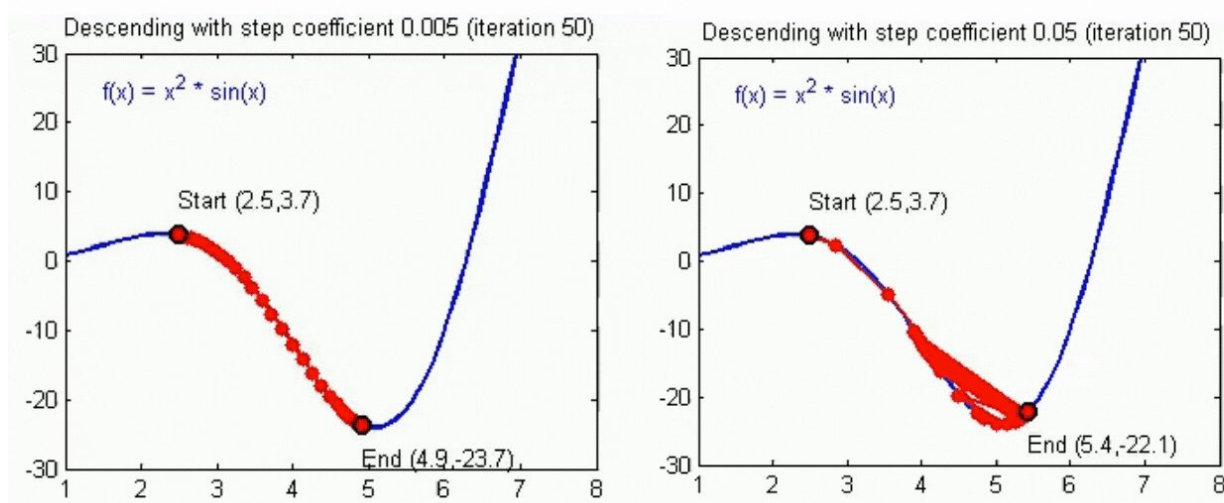


## Training

# Learning rate

---

An hyperparameter that controls the adjustment of model weights during training. It determines the size of the steps the algorithm takes to reach the minimum of the loss function.



**Evaluate Regression Model**

## Linear Regression

### Evaluate Regression

---

Call:

```
lm(formula = height ~ age, data = ageandheight)
```

Residuals:

Min	1Q	Median	3Q	Max
-0.27238	-0.24248	-0.02762	0.16014	0.47238

Coefficients:

	Estimate	Std. Error	t value	Pr(> t )	
(Intercept)	64.9283	0.5084	127.71	< 2e-16	***
age	0.6350	0.0214	29.66	4.43e-11	***

---  
Signif. codes: 0 '\*\*\*' 0.001 '\*\*' 0.01 '\*' 0.05 '.' 0.1 ' ' 1

Residual standard error: 0.256 on 10 degrees of freedom

Multiple R-squared: 0.9888, Adjusted R-squared: 0.9876

F-statistic: 880 on 1 and 10 DF, p-value: 4.428e-11

## Evaluate Regression

$$H_0 : \beta_1 = 0 \quad H_a : \beta_1 \neq 0,$$

$$t = \frac{\hat{\beta}_1 - 0}{\text{SE}(\hat{\beta}_1)},$$

$$R^2 = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2}$$

$$R^2_{\text{adjusted}} = 1 - \left( \frac{(1 - R^2)(n - 1)}{n - p - 1} \right)$$

$$H_0 : \beta_1 = \beta_2 = \dots = \beta_p = 0$$

$$H_a : \text{at least one } \beta_j \text{ is non-zero.}$$

$$F = \frac{(\text{TSS} - \text{RSS})/p}{\text{RSS}/(n - p - 1)},$$

- **Assess if the feature has a relationship with the target variable (y).**
- **Evaluate if the entire model has a relationship with the target variable (y).**
- **Evaluate how much better the model performs compared to the dummy model.**

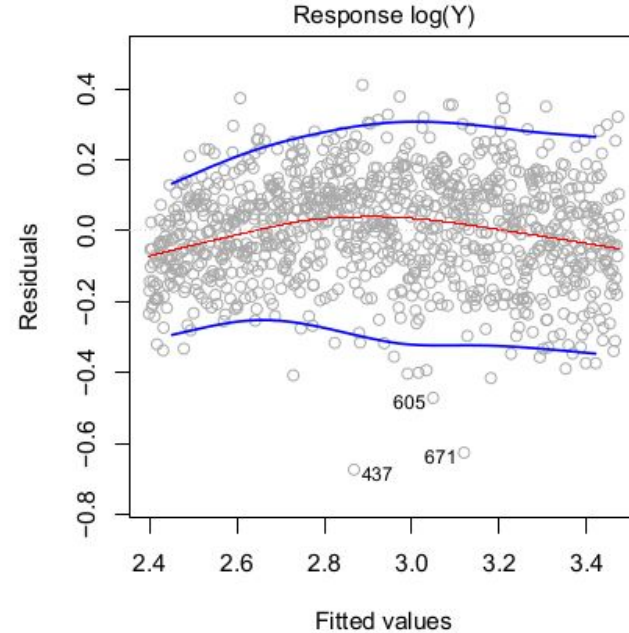
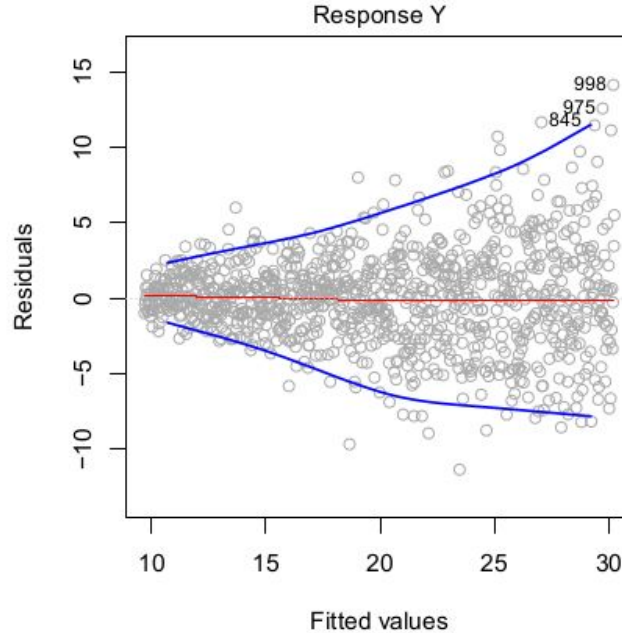


## Problem in Regression Model

# GAUSS MARKOV PROBLEM 1

**PROBLEM:**

**ETEROSCHE  
DASTICITY,  
non costant  
residual  
variance.**



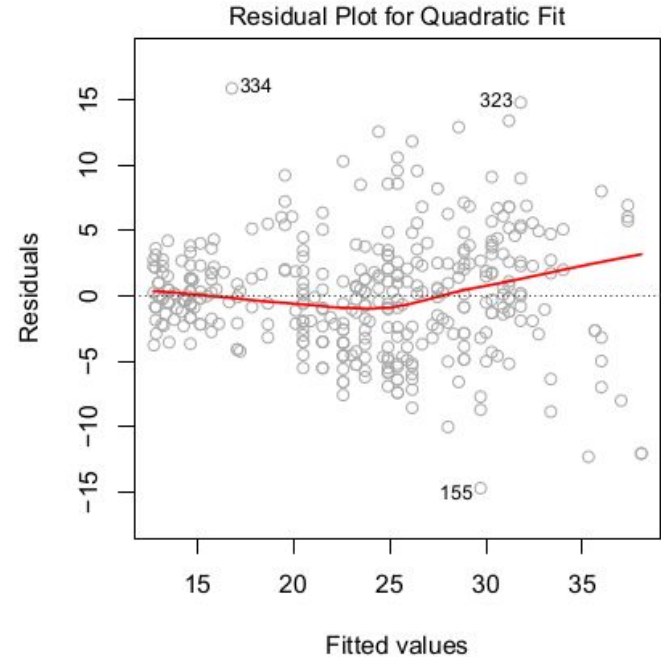
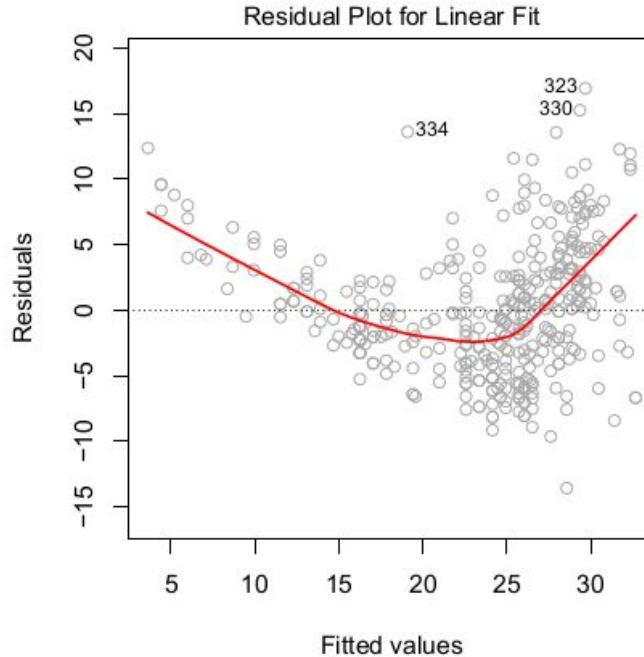
**SOLUTION:** 1. Y Transformation

2. OLS Weighted Method

## GAUSS MARKOV PROBLEM 2

PROBLEM:

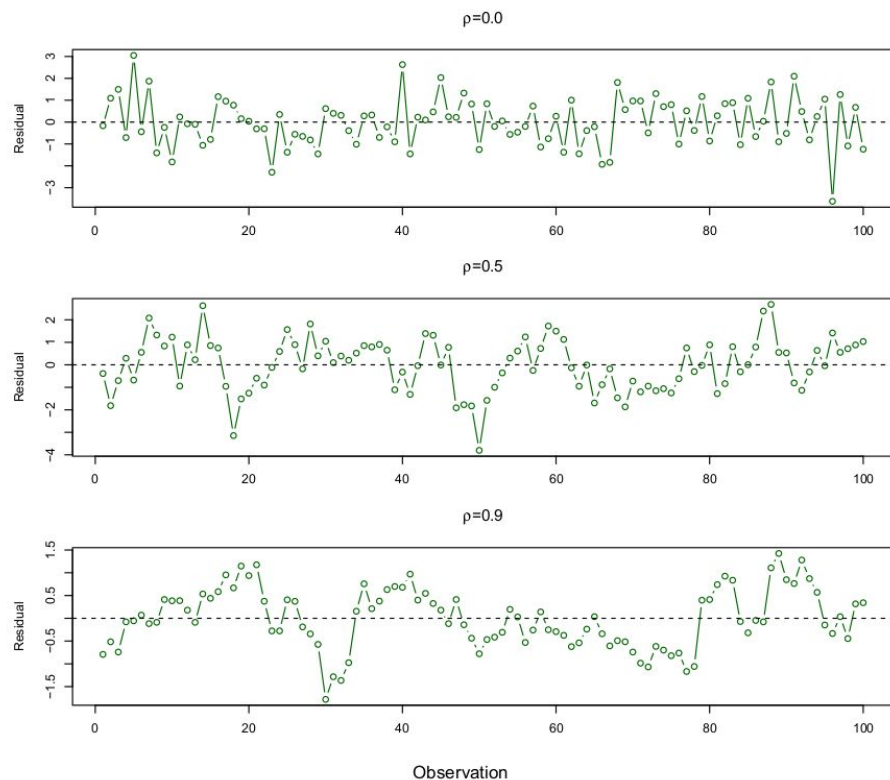
NON  
LINEARITY



SOLUTION: 1. X Transformation

2. Use an higher polynomial degree

## GAUSS MARKOV PROBLEM 3



**PROBLEM:**

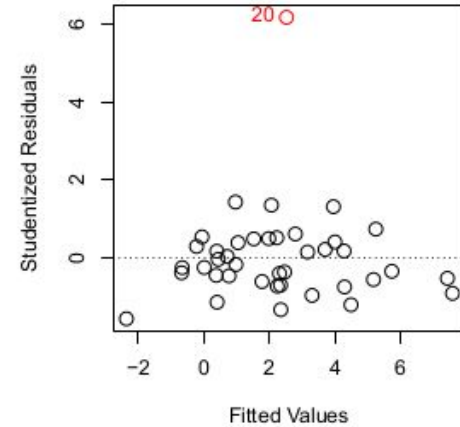
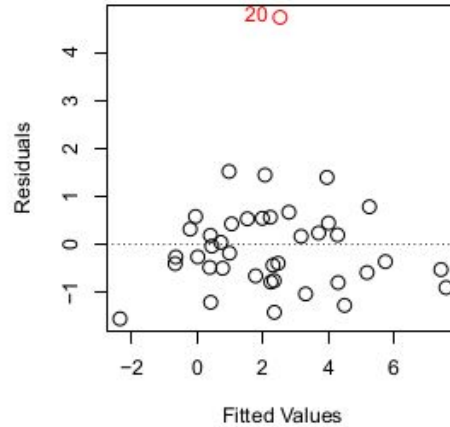
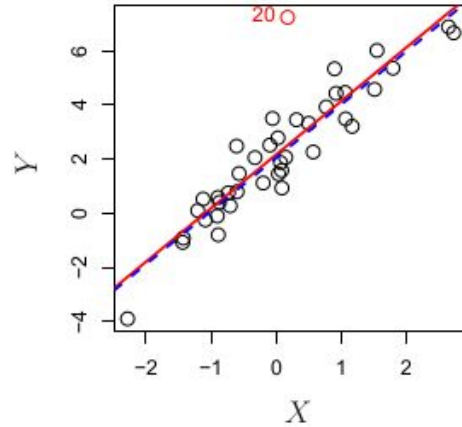
**COVARIANCE of the Residual not null.**

**Difficult to detect and to solve,  
frequently in time series.**

**SOLUTION:**

**1. Instrumental Variable**

## OTHER PROBLEM 4



PROBLEM:

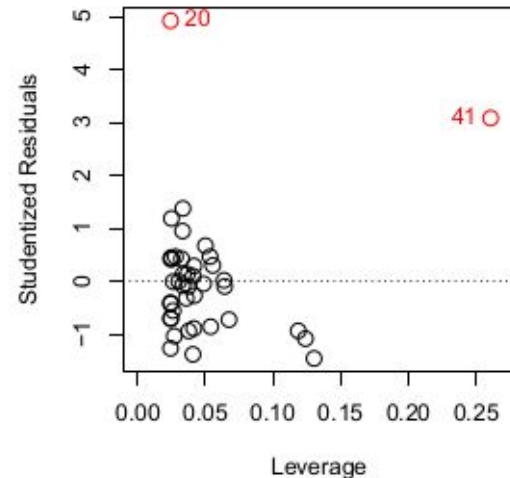
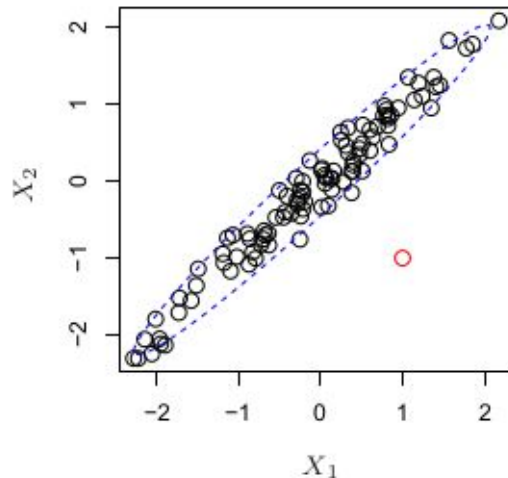
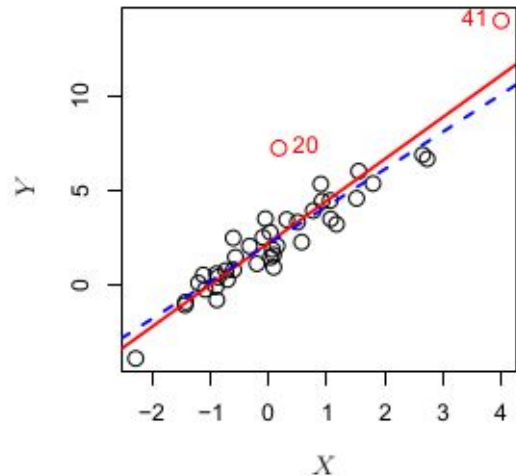
OUTLIERS

SOLUTION:

1. Delete Outlier

2. X/Y Trasformation

## OTHER PROBLEM 5



PROBLEM:

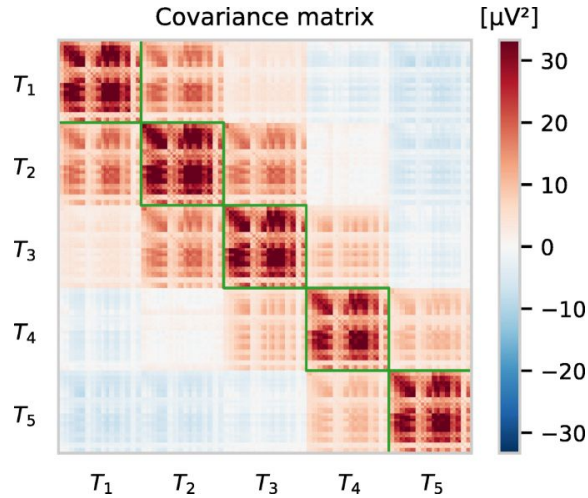
HIGH  
LEVERAGE

SOLUTION:  
1. Delete Outlier

HOW TO  
DETECT:

$$h_i = \frac{1}{n} + \frac{(x_i - \bar{x})^2}{\sum_{i'=1}^n (x_{i'} - \bar{x})^2}.$$

## OTHER PROBLEM 6



	Coefficient	Std. error	<i>t</i> -statistic
Intercept	-173.411	43.828	-3.957
age	-2.292	0.672	-3.407
limit	0.173	0.005	34.496
Intercept	-377.537	45.254	-8.343
rating	2.202	0.952	2.312
limit	0.025	0.064	0.384

## PROBLEM:

## COLLINEARITY AND MULTICOLLINEARITY

HOW TO  
DETECT:

1. Covariance Matrix
- 2.

$$VIF(\hat{\beta}_j) = \frac{1}{1 - R_{X_j|X_{-j}}^2},$$

## SOLUTION:

1. Delete Features



03.

## **Applications**

After a Small BREAK

04.

## Logistic Regression

In this section, we focus on Deep Learning, a subset of machine learning that utilizes neural networks with many layers. We'll examine how deep learning models can learn complex patterns and perform tasks like image and speech recognition.

05.

## Applications

In this section, we focus on Deep Learning, a subset of machine learning that utilizes neural networks with many layers. We'll examine how deep learning models can learn complex patterns and perform tasks like image and speech recognition.

03.

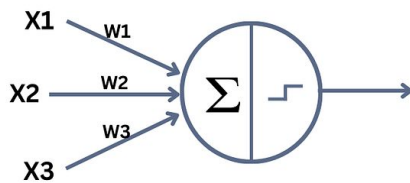
## Deep Learning

In this section, we focus on Deep Learning, a subset of machine learning that utilizes neural networks with many layers. We'll examine how deep learning models can learn complex patterns and perform tasks like image and speech recognition.

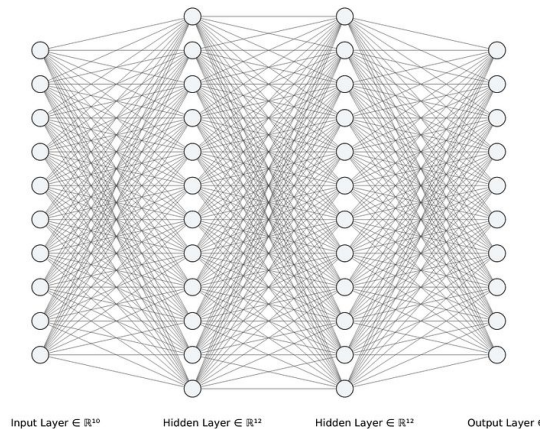
# Neural Networks (NNs)

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A computational model inspired by the human brain's structure, and consists of layers of interconnected nodes or neurons that process and transmit signals to solve tasks.

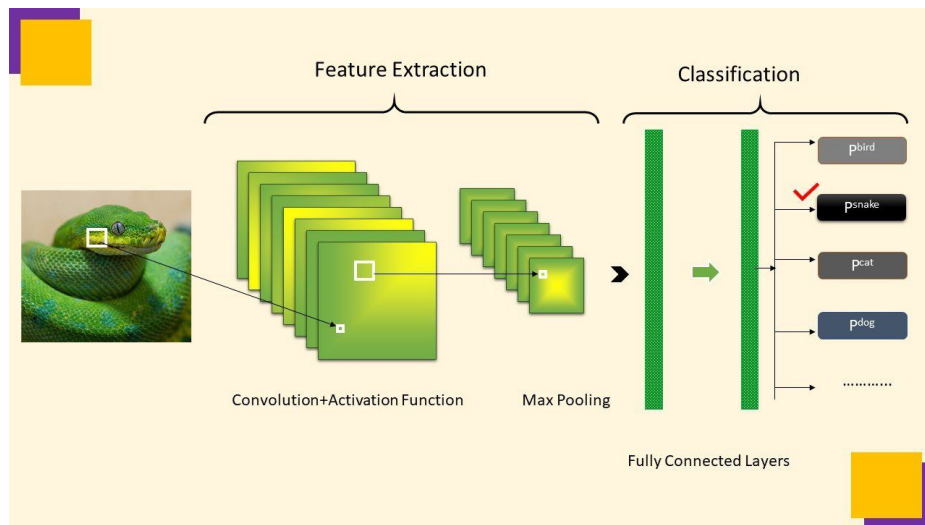


Single-layer perceptron

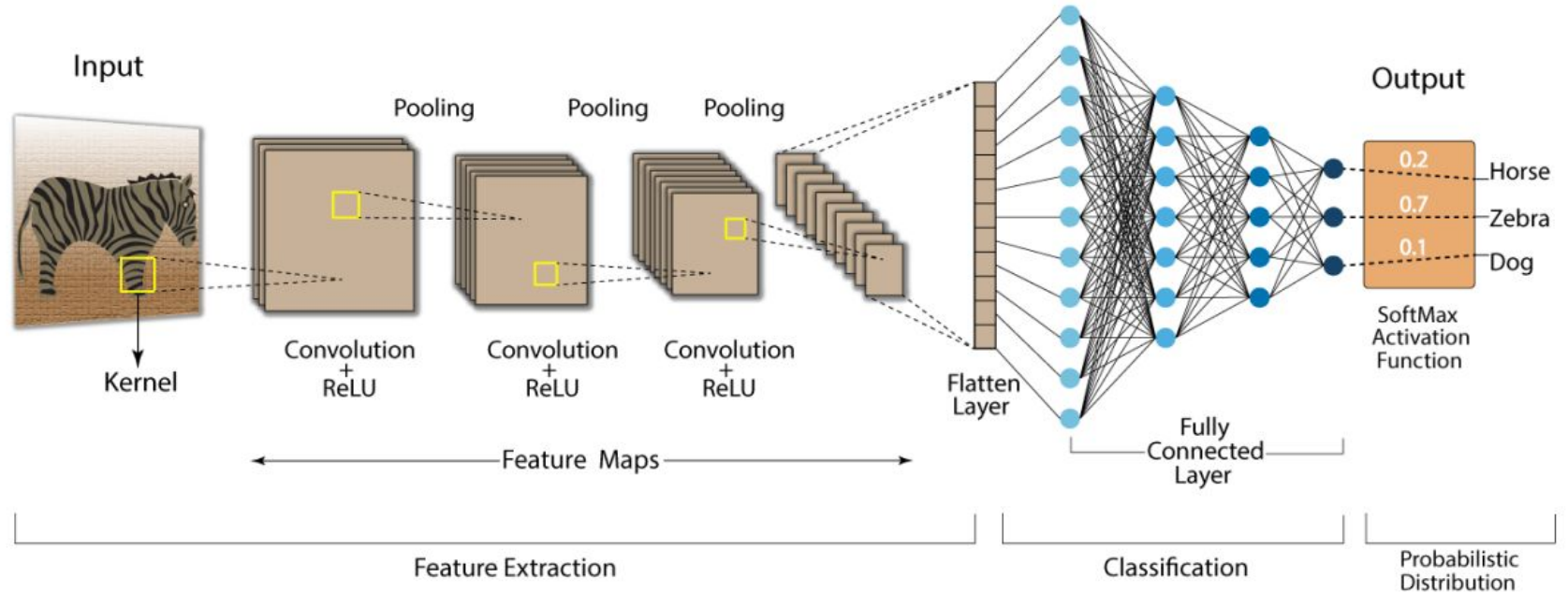


Multi-layer perceptron

Convolution involves sliding a smaller array, known as a kernel or filter, over a larger array (the input signal or image) to produce a new array called the convolved feature or feature map.



# Convolutional Neural Networks (CNNs)





Classification



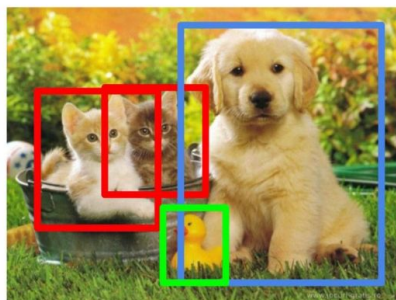
CAT

Classification +  
Localization



CAT

Object detection



CAT, DOG, DUCK

Instance segmentation



CAT, DOG, DUCK

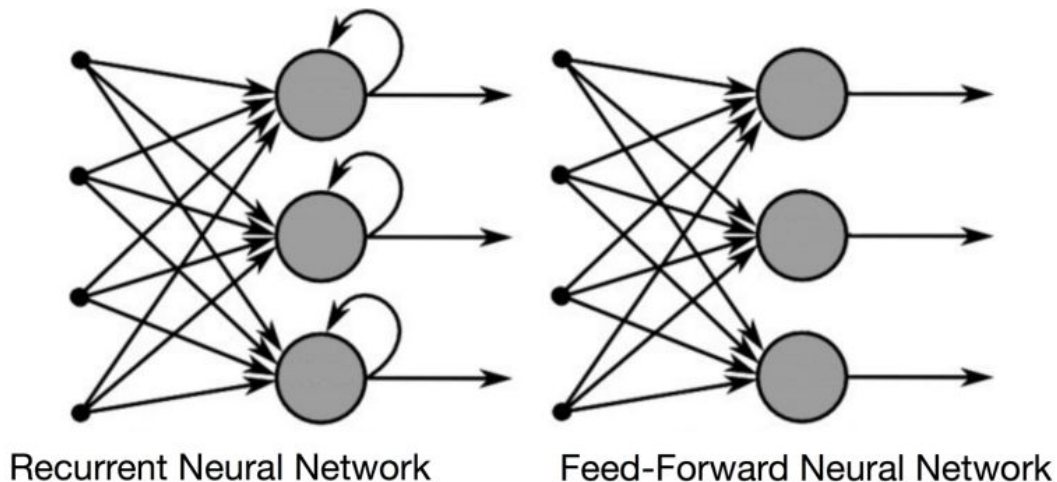
Single object

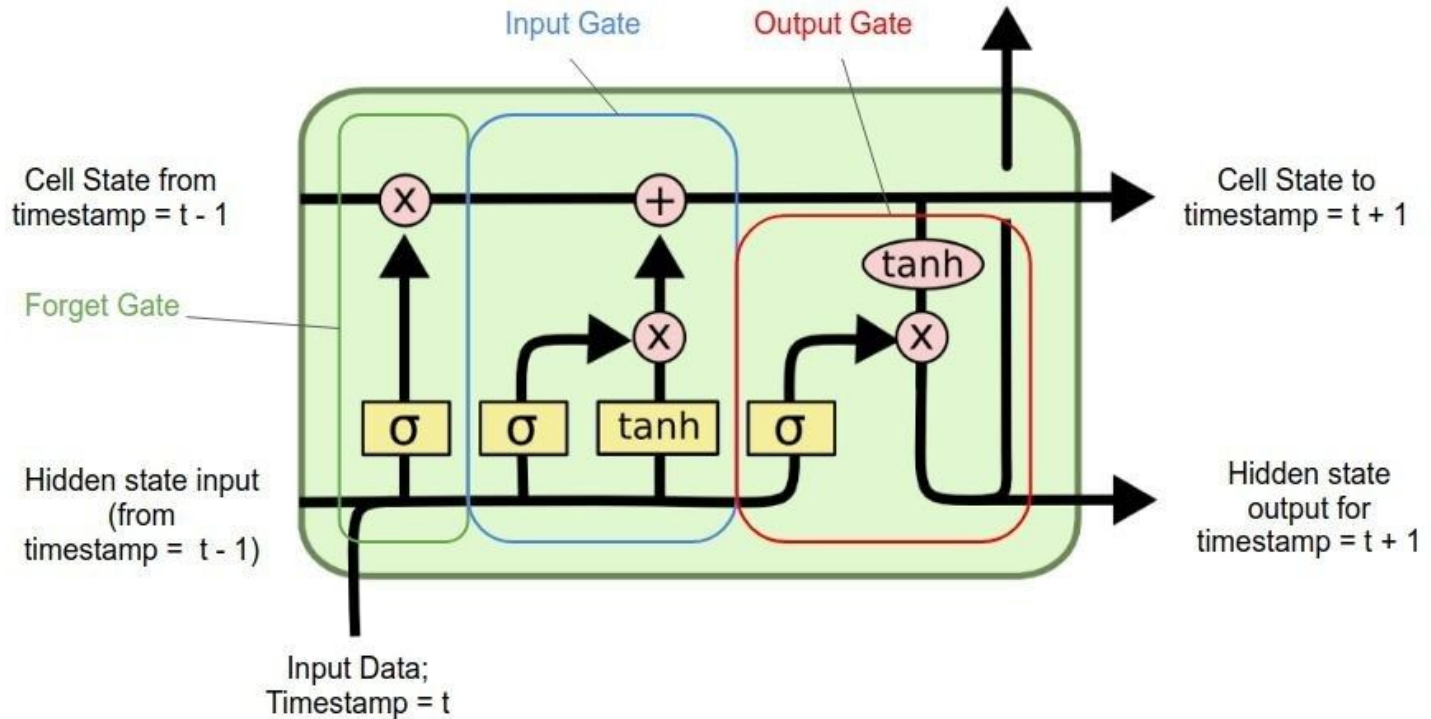
Multiple objects

## Recurrent Neural Network (RNNs)

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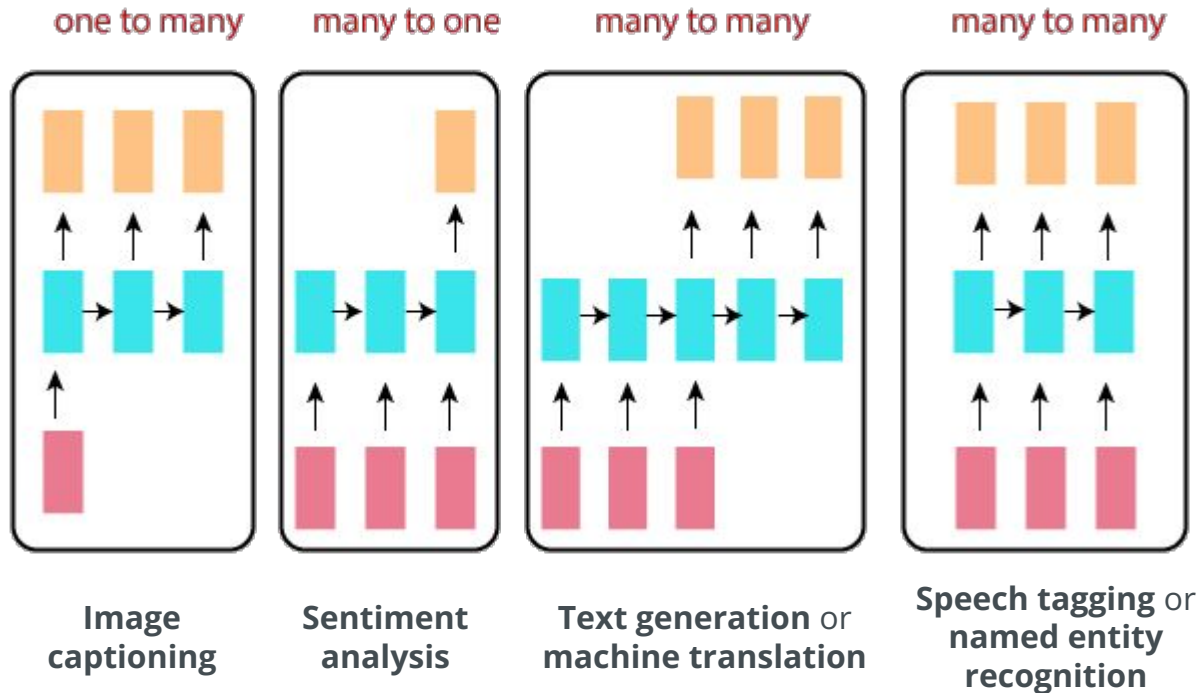
CNNs struggle with *time series* because they don't naturally keep track of the order of things. They treat input data as if all parts are independent and don't have a built-in way to remember what happened in the previous steps of a sequence.





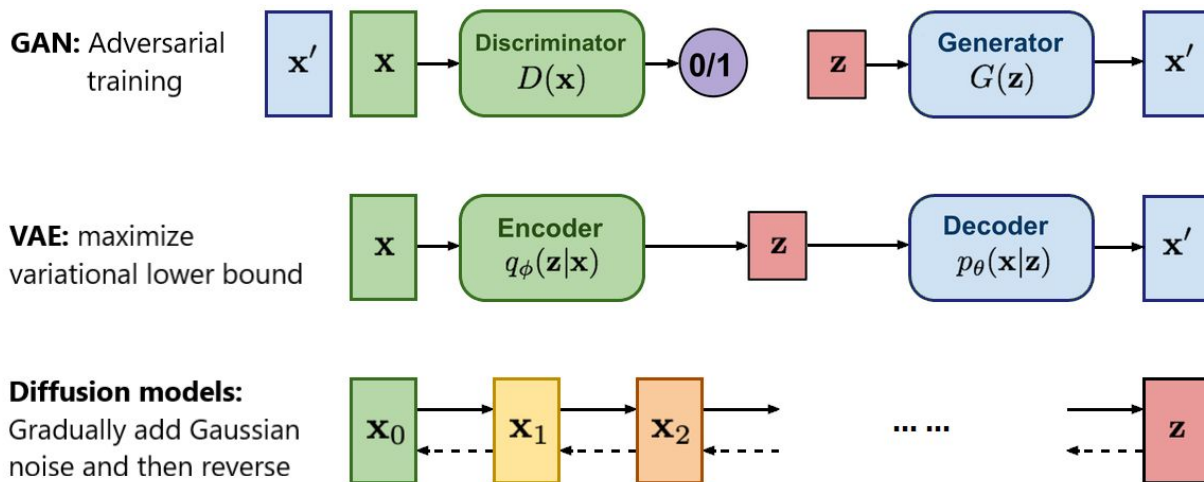
## Recurrent Neural Networks: *Task*

---

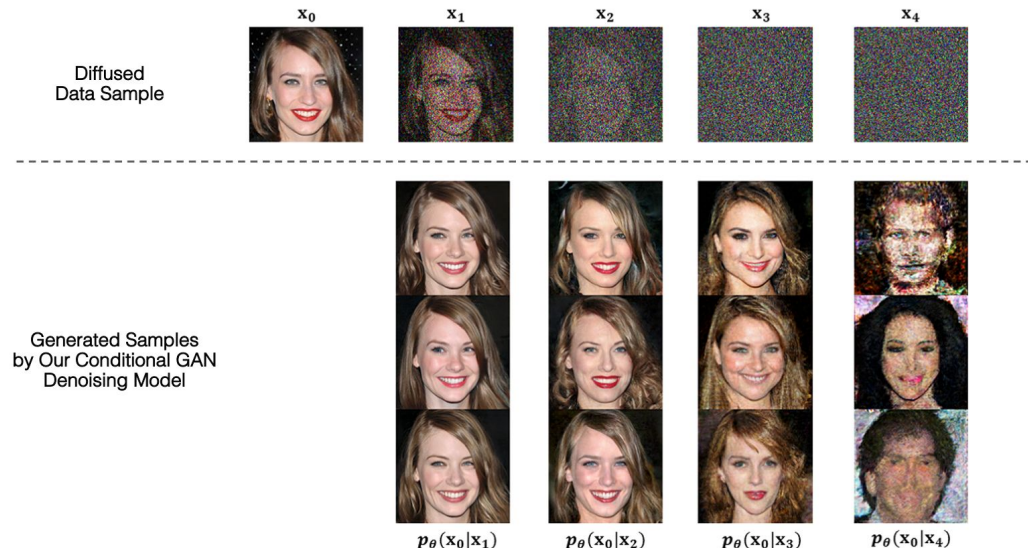


# Generative Neural Network (GANs)

A class of models designed to generate new data that is similar to the training data they've been fed. They learn the underlying distribution of a dataset and then use this knowledge to produce new instances that could plausibly come from the same distribution.



## Generative Neural Networks: *Task*



The website *This Person Does Not Exist* was created in February 2019. It uses GANs, to generate highly realistic images of human faces of people who do not actually exist.

<https://this-person-does-not-exist.com/en>

04.

## Training

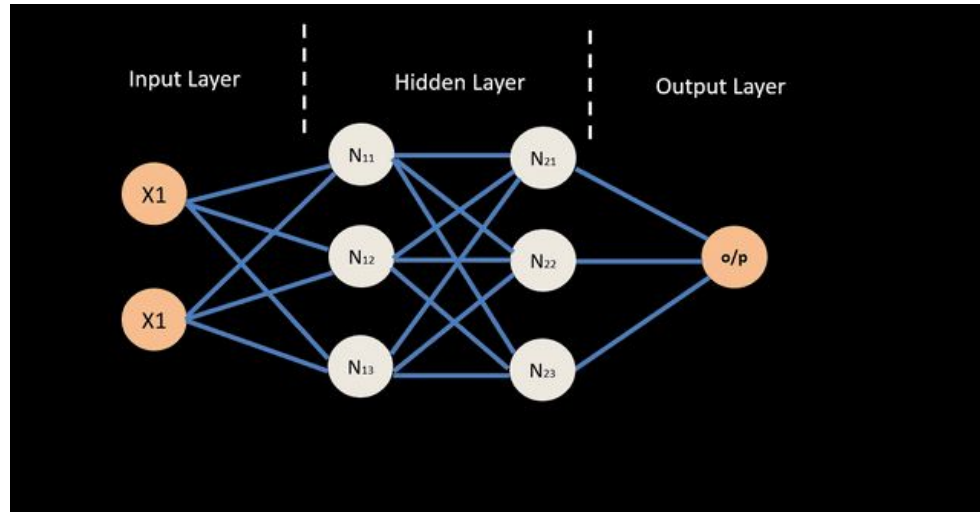
Here, we discuss the process of training machine learning models, including data preparation, model selection, and the use of algorithms to optimize model performance. We'll also cover strategies to avoid common pitfalls like overfitting.



## Training Backpropagation

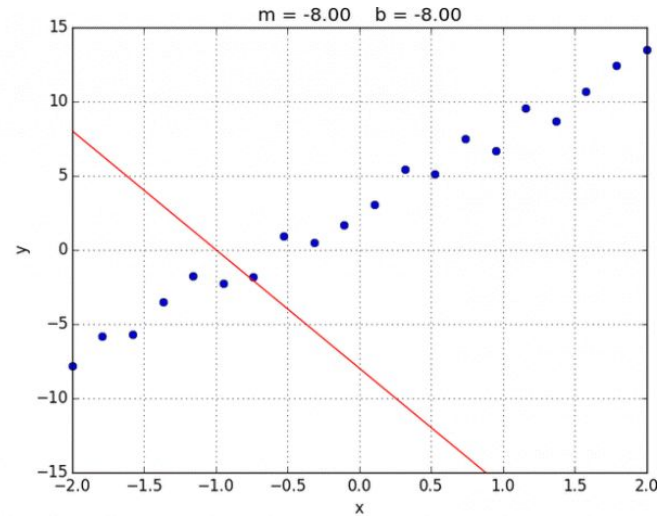
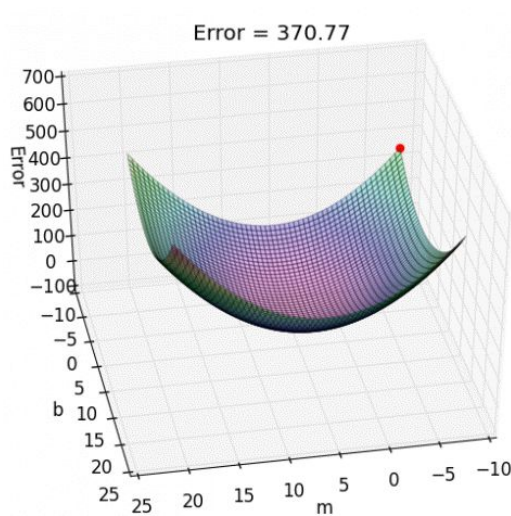
---

An algorithm used to calculate the gradient of the loss function with respect to each weight by the chain rule, efficiently propagating the error backward through the network.



## Training Backpropagation

An algorithm used to calculate the gradient of the loss function with respect to each weight by the chain rule, efficiently propagating the error backward through the network.



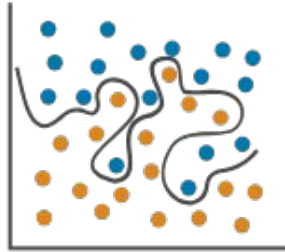
Training

## Overfitting and underfitting

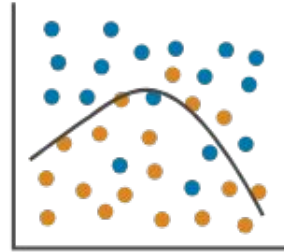
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Classification

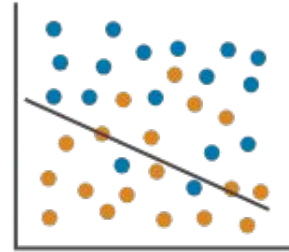
Overfitting



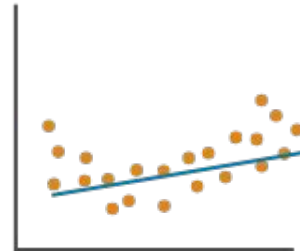
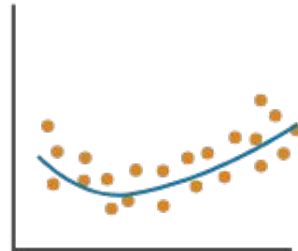
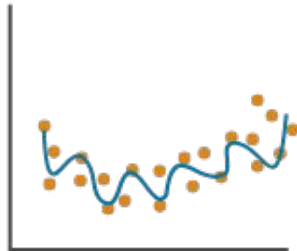
Right Fit



Underfitting



Regression



## Training Generalization

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Model's ability to perform well on new, unseen data after being trained on a specific dataset. It measures the effectiveness in applying learned patterns to novel inputs outside the training set.



**Training data**

Generalization  
→



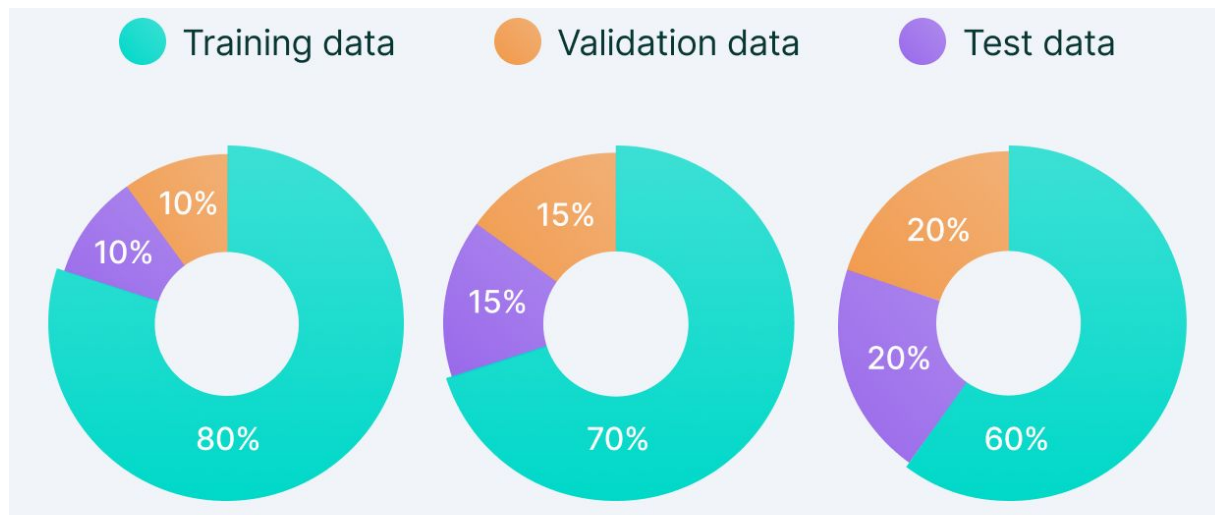
**Testing data**

## Training

### Generalization: ***Set splitting***

---

A process a dataset is divided into separate subsets to ensure that models are trained on one set of data and tested on unseen data to evaluate performance and generalize ability.



## Training

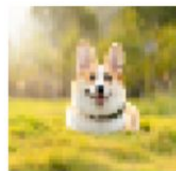
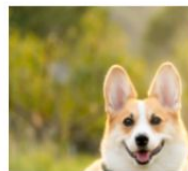
### Generalization: ***Data augmentation***

---

A technique to increase the diversity of training data by applying various transformations, such as rotation, scaling, and flipping, to existing samples. This helps improve model robustness and generalization by simulating a wider range of input scenarios.



**Original**



**Augmentation**

## Training

### Generalization: ***Regularization***

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A technique used to prevent overfitting by adding a penalty on the size of the parameters. It encourages simpler models during training, which can generalize better on unseen data.





05.

## **Applications**

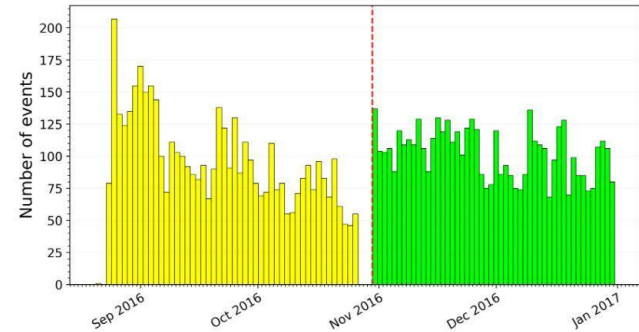
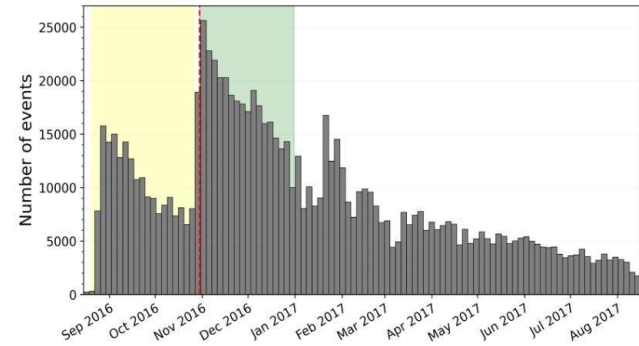
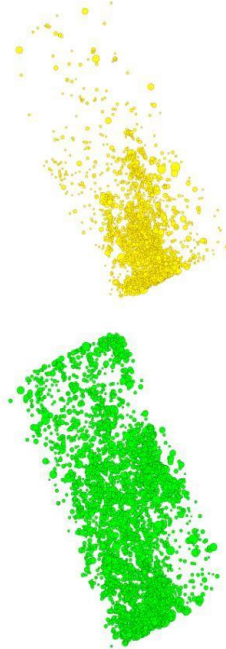
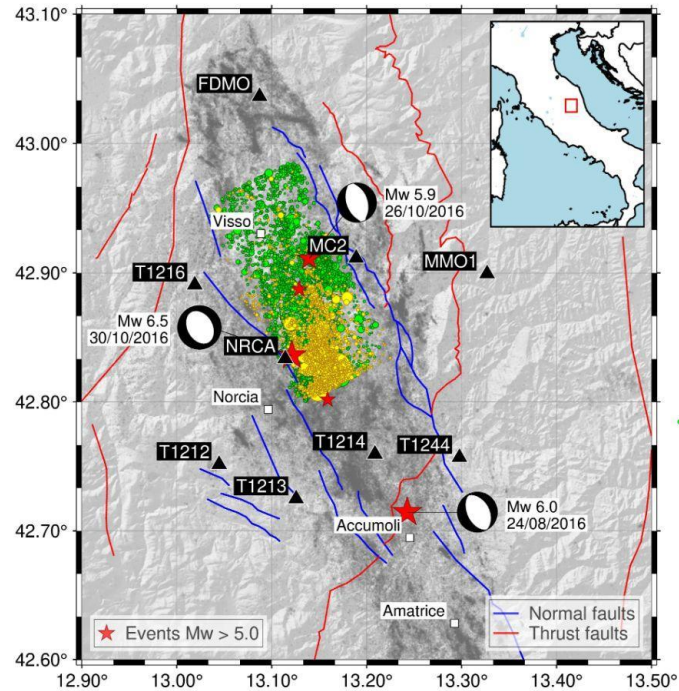
This section delves into the wide range of applications for machine learning and deep learning in seismology.

05.01

## **Earthquake classification**

## Applications

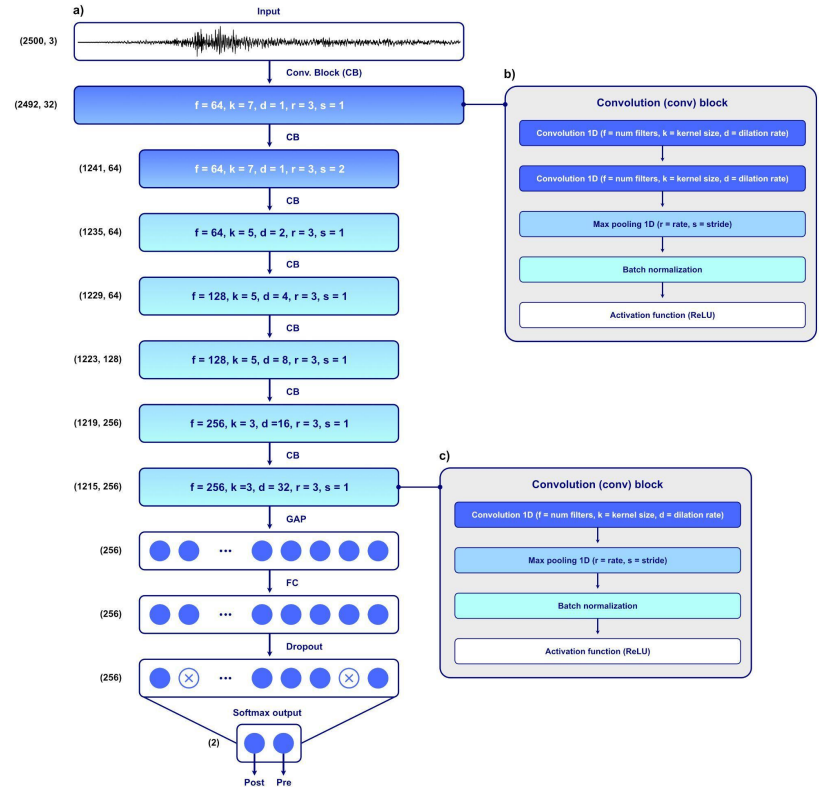
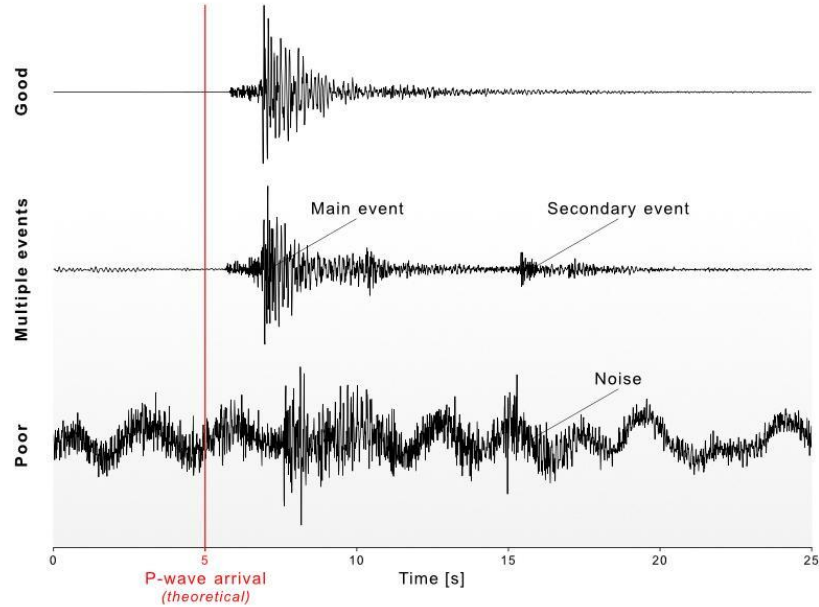
# Earthquake classification



--- Norcia Mw 6.5    Tan et al. 2021    Foreshocks    Aftershocks

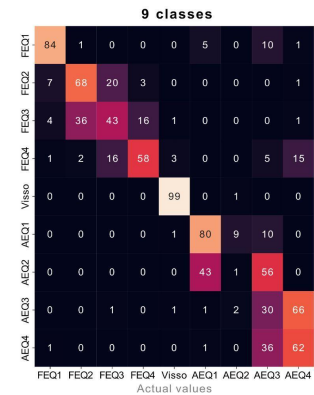
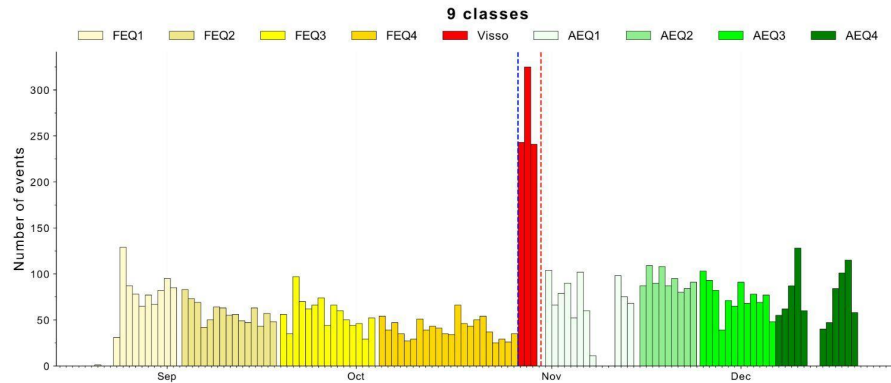
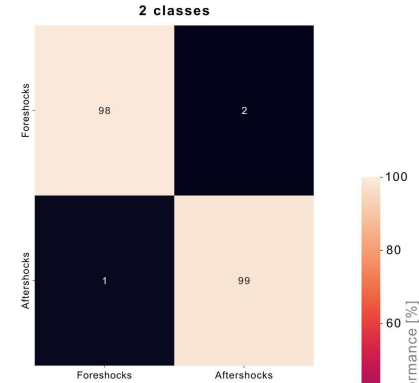
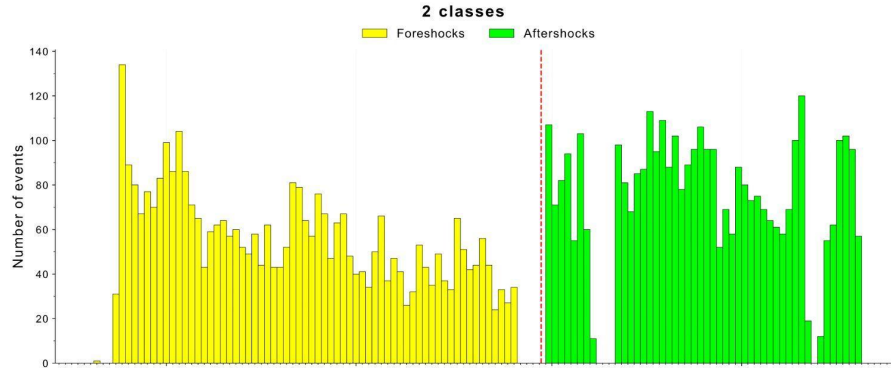
## Applications

# Earthquake classification: *Methods*



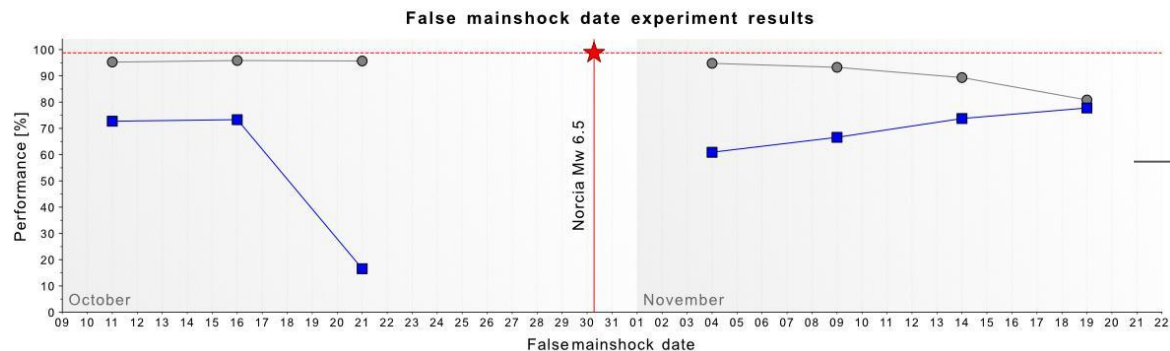
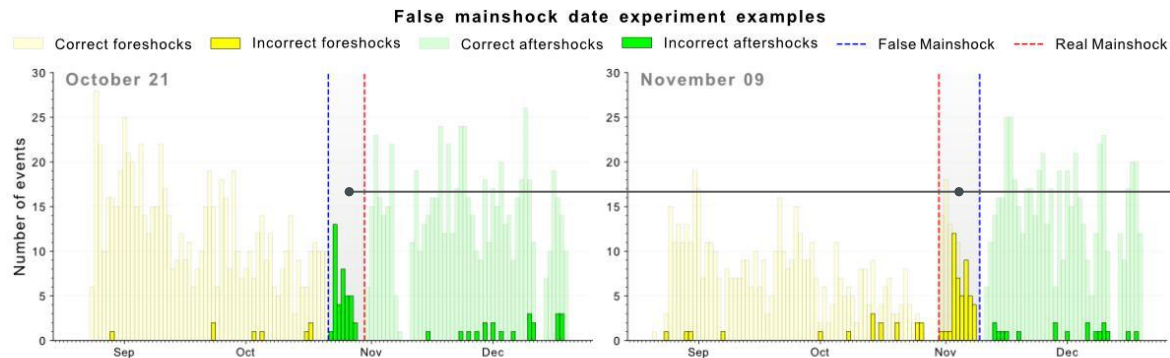
## Applications

# Earthquake classification: *Results*



## Applications

# Earthquake classification: *Validation*

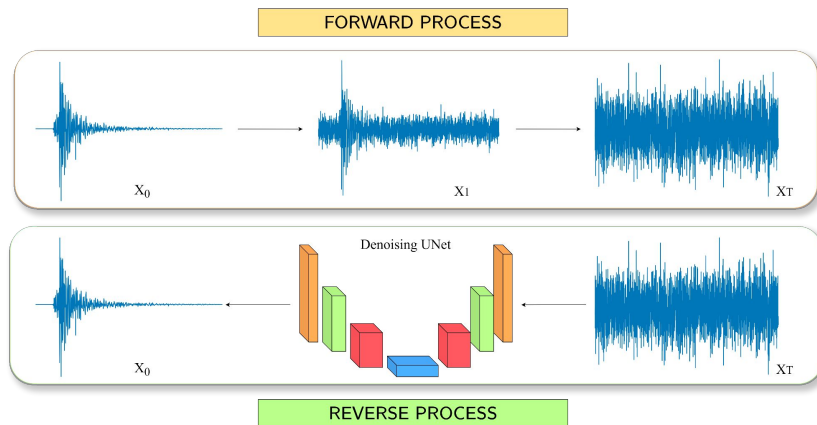


05.02

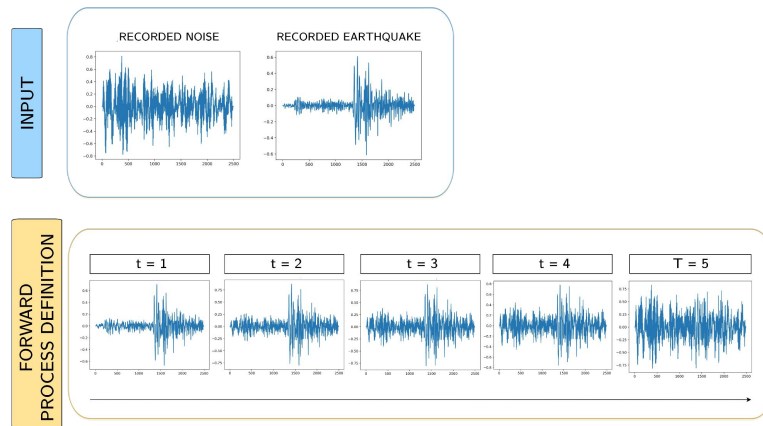
## **Cold Diffusion model**

Our research explores adapting the Cold Diffusion model for seismic denoising, tailoring it to overcome the challenge of non-Gaussian noise in seismic data, promising enhanced signal recovery.

### Diffusion Process



### Input Assumptions

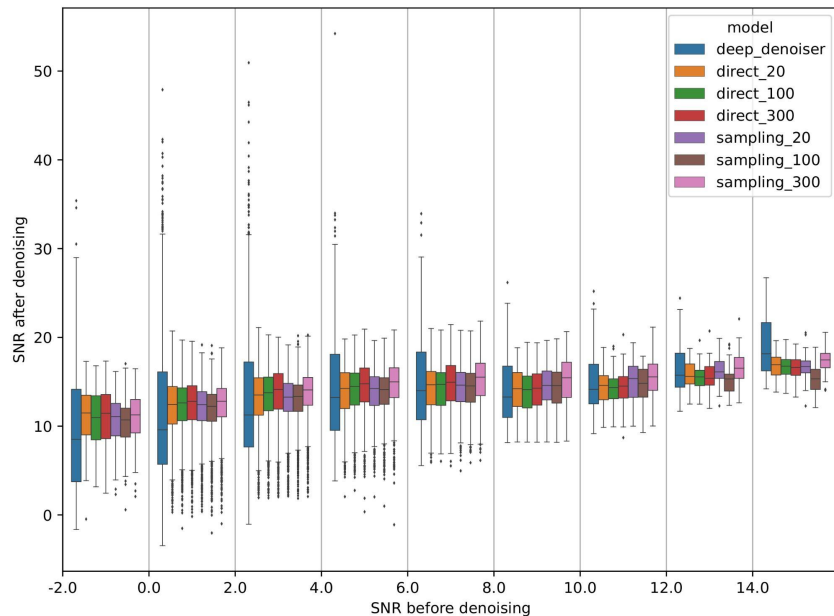




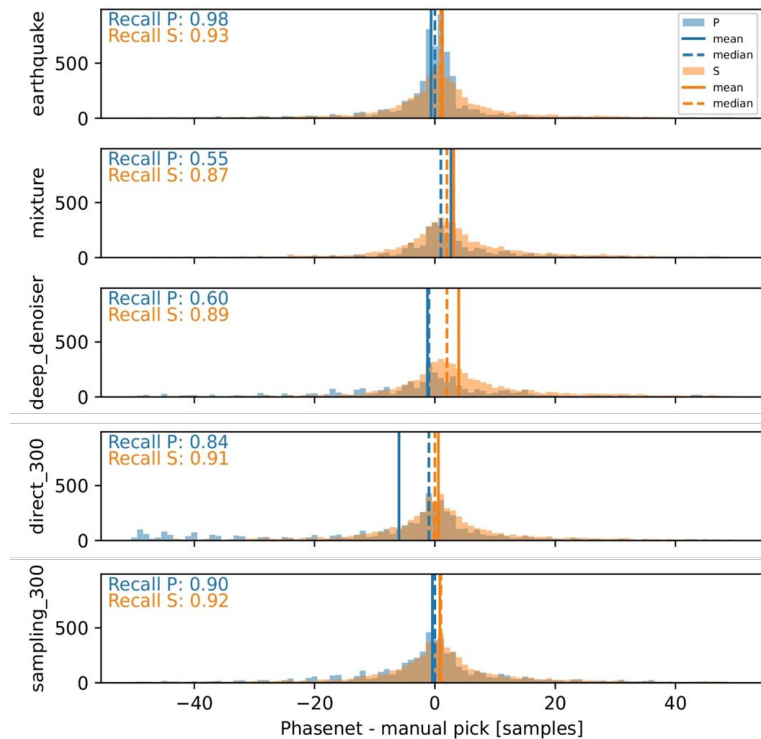
## Applications

# Cold Diffusion model: *Quantitative results*

**Distributions of SNR values before and after the denoising**

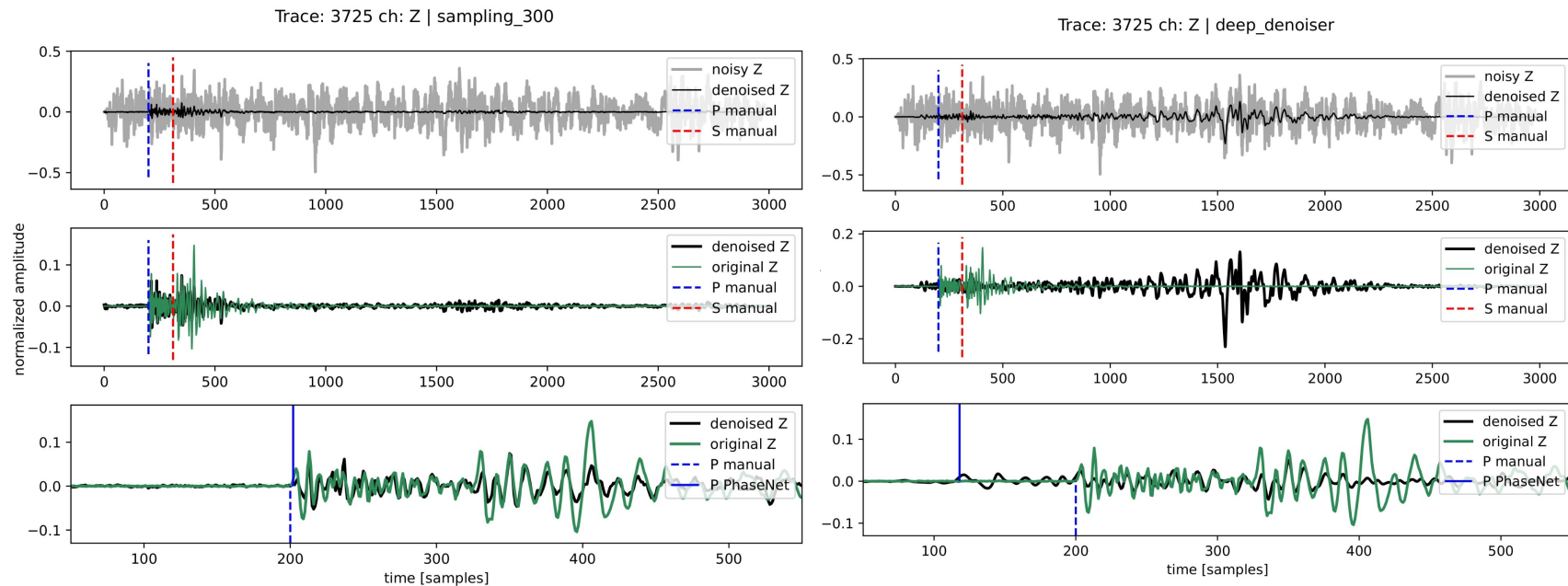


**Phase arrivals picks**



## Applications

# Cold Diffusion model: *Qualitative results*





SAPIENZA  
UNIVERSITÀ DI ROMA

## Elements of Seismology & Machine Learning

Now it's your turn to apply machine learning to seismology!  
If you have any ideas or questions, here are our contact details:

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[daniele.trappolini@uniroma1.it](mailto:daniele.trappolini@uniroma1.it)

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[giacomo.mastella@uniroma1.it](mailto:giacomo.mastella@uniroma1.it)